

EPFL

Physics of Materials

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Chapter 11 Phase Transformations 1: Solidification

LUMES



Masters Course PHYS-307

Fall 2024

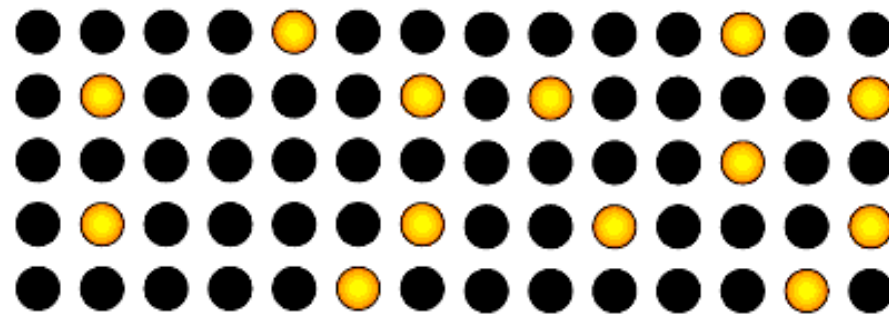
Thermodynamics: mixing energy

Concentration $X_A = \frac{N_A}{N_A + N_B}$

$$\Delta G_m = \Delta H_m - T \Delta S_m$$

Ideal solution $\Delta H_m = 0$

Calculation of the mixing entropy ΔS_m



$$T \Delta S_m = kT \ln \left(\frac{(N_A + N_B)!}{N_A! N_B!} \right)$$

Stirling formula: $\ln N! \approx N \ln N - N$

$$T \Delta S_m = kT \left[(N_A + N_B) \ln(N_A + N_B) - N_A \ln N_A - N_B \ln N_B \right] \quad \boxed{N_A = X_A n_a}$$

$$T \Delta S_m = kT \left[n_a (X_A + X_B) [\ln n_a + \ln(X_A + X_B)] - n_a (X_A (\ln n_a + \ln X_A) + X_B (\ln n_a + \ln X_B)) \right]$$

$$T \Delta S_m = kT \left[-n_a (X_A \ln X_A + X_B \ln X_B) \right] = -RT (X_A \ln X_A + X_B \ln X_B) \quad \boxed{X_A + X_B = 1}$$

Thermodynamics: mixing energy

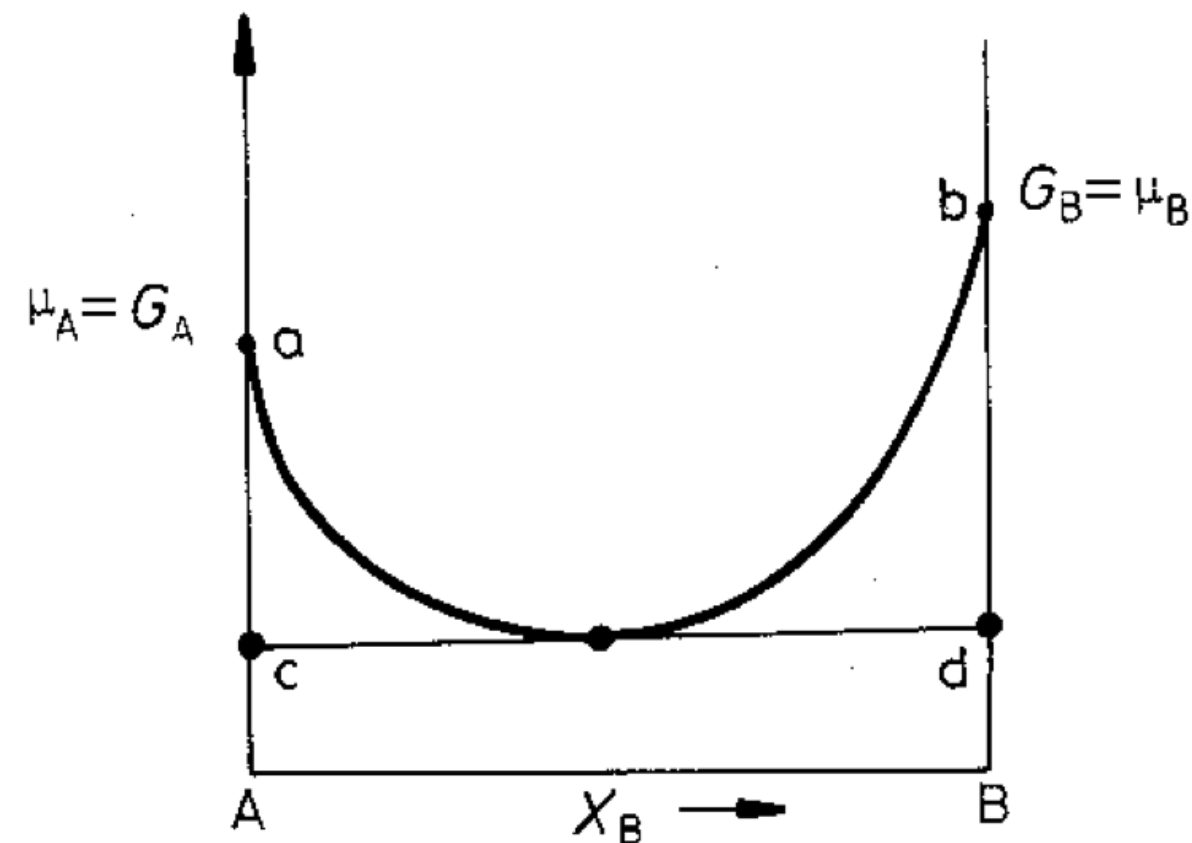
Total free energy of the mixture: $\Delta H_m = 0$

$$G = G_A X_A + G_B X_B + RT (X_A \ln X_A + X_B \ln X_B)$$

$$G = \mu_A X_A + \mu_B X_B$$

$$\mu_A = G_A + RT \ln X_A$$

$$\mu_B = G_B + RT \ln X_B$$



Calculation of the mixing enthalpy $\Delta H_m \neq 0$

V_{AA} = potential of interaction $A-A$

V_{BB} = potential of interaction $B-B$

V_{AB} = potential of interaction $A-B$

$$\Delta H_m \approx \Delta E_m = N_{AA}V_{AA} + N_{BB}V_{BB} + N_{AB}V_{AB}$$

number of pairs $A-A$ $N_{AA} = \frac{1}{2}NX_A \cdot zX_A$

$$X_A = X \quad X_B = 1 - X$$
$$N_{AA} = \frac{1}{2}NzX^2 \quad N_{BB} = \frac{1}{2}Nz(1-X)^2 \quad N_{AB} = NzX(1-X)$$

$$\Delta H_m \cong \Delta E_m = \frac{1}{2}NzX^2V_{AA} + \frac{1}{2}Nz(1-X)^2V_{BB} + NzX(1-X)V_{AB}$$
$$= \frac{1}{2}Nz[XV_{AA} - X(1-X)V_{AA} + (1-X)V_{BB} - X(1-X)V_{BB} + 2X(1-X)V_{AB}]$$

Calculation of the mixing enthalpy

$$\Delta H_m = \frac{1}{2} N_Z \left[X V_{AA} + (1-X) V_{BB} + 2X(1-X) \left(V_{AB} - \frac{V_{AA} + V_{BB}}{2} \right) \right]$$

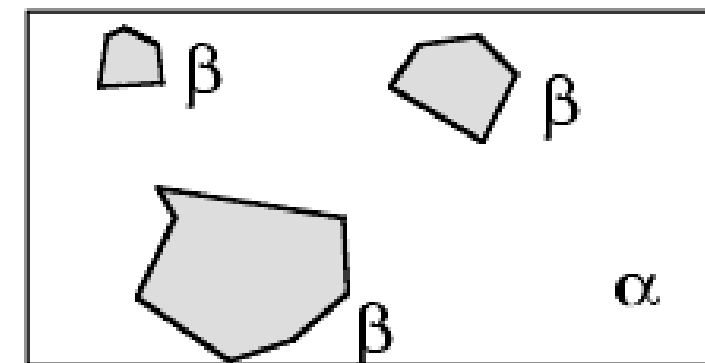
$$\Delta H_m = \frac{1}{2} N_Z \left[X V_{AA} + (1-X) V_{BB} + 2X(1-X) V \right] \quad V = V_{AB} - \frac{V_{AA} + V_{BB}}{2}$$

3 possible cases

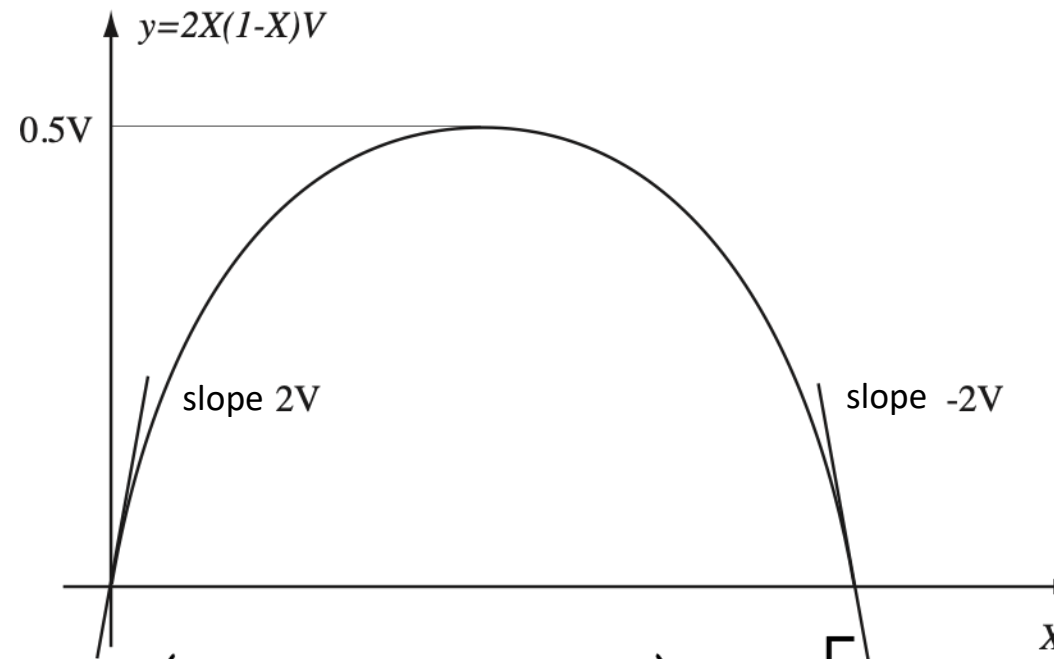
1) $V = 0$ that is $V_{AA} \sim V_{AB} \sim V_{BB}$ Disordered solution

2) $V > 0$ or $V_{AB} > \frac{V_{AA} + V_{BB}}{2}$ Separation of phases (precipitation)

3) $V < 0$ or $V_{AB} < \frac{V_{AA} + V_{BB}}{2}$ Alloy



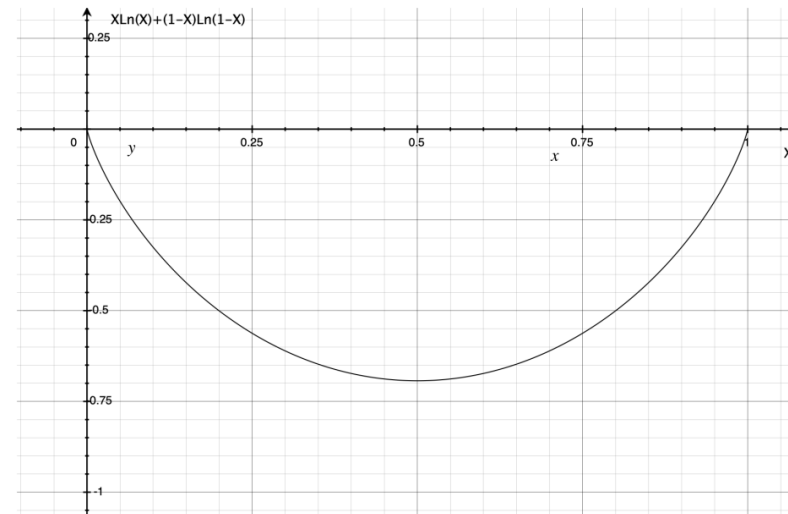
The interaction potential $V(\Omega)$



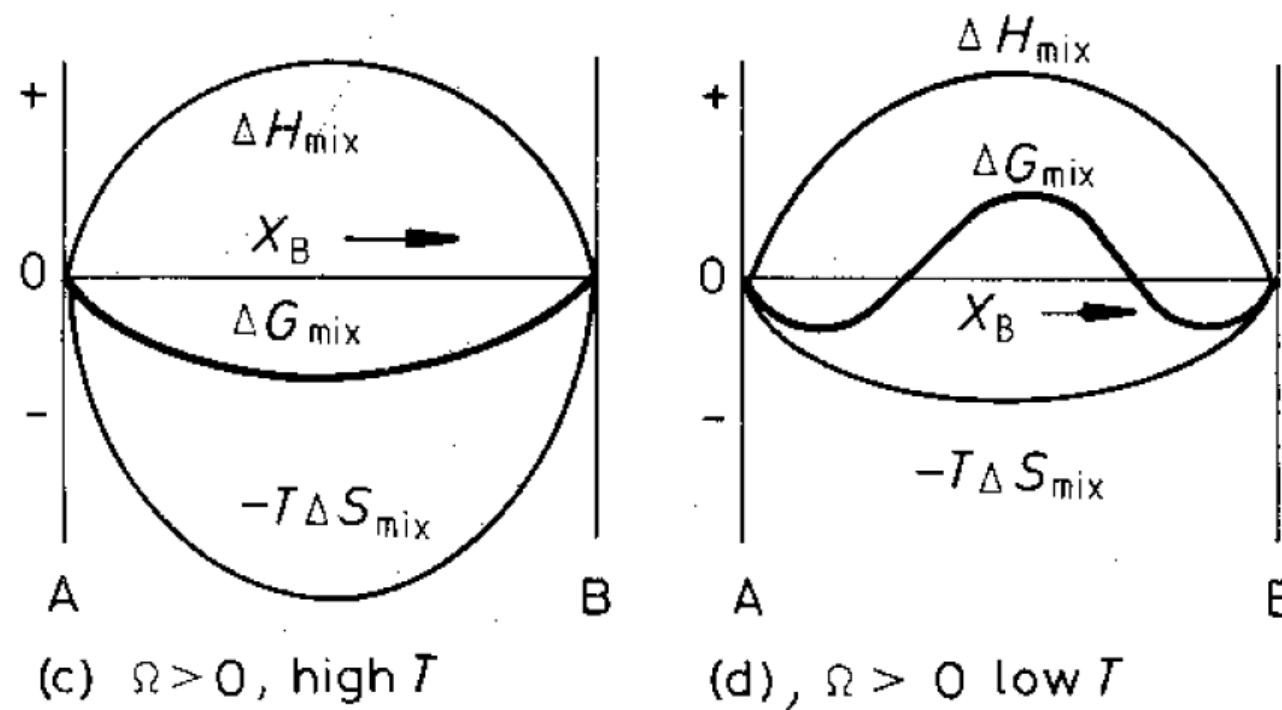
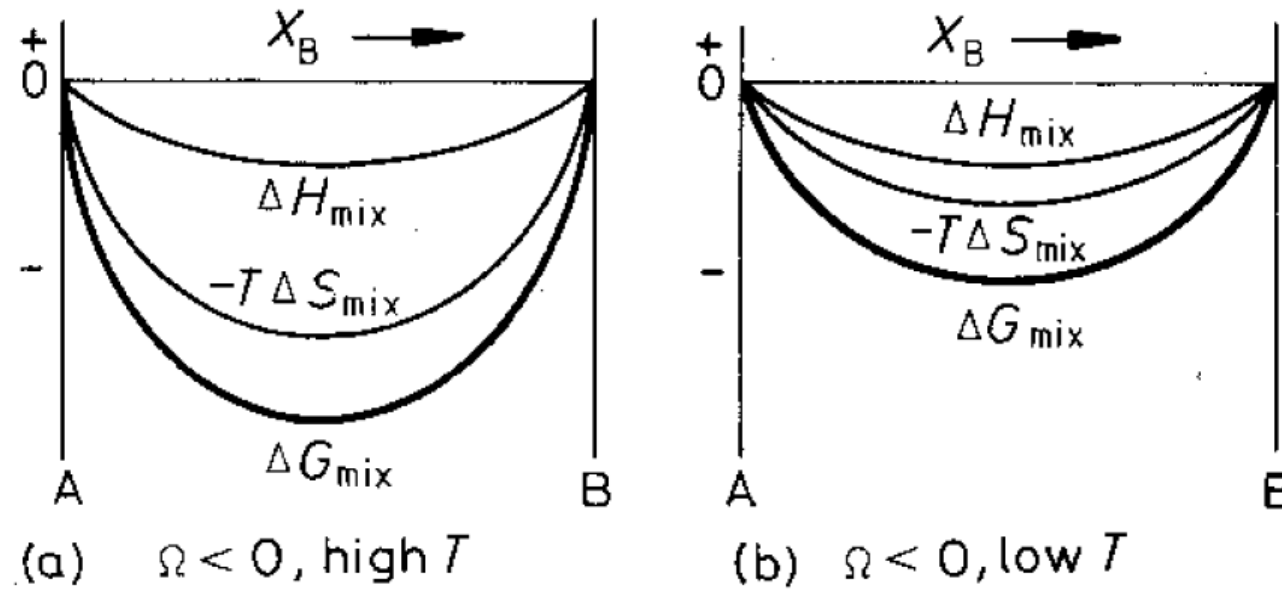
$$NzX(1-X)V = \Omega X_A X_B = \Omega (X_A^2 X_B + X_A X_B^2) = \Omega \left[X_A (1-X_A)^2 + X_B (1-X_B)^2 \right]$$

$$\Delta H_m = \Omega X_A X_B$$

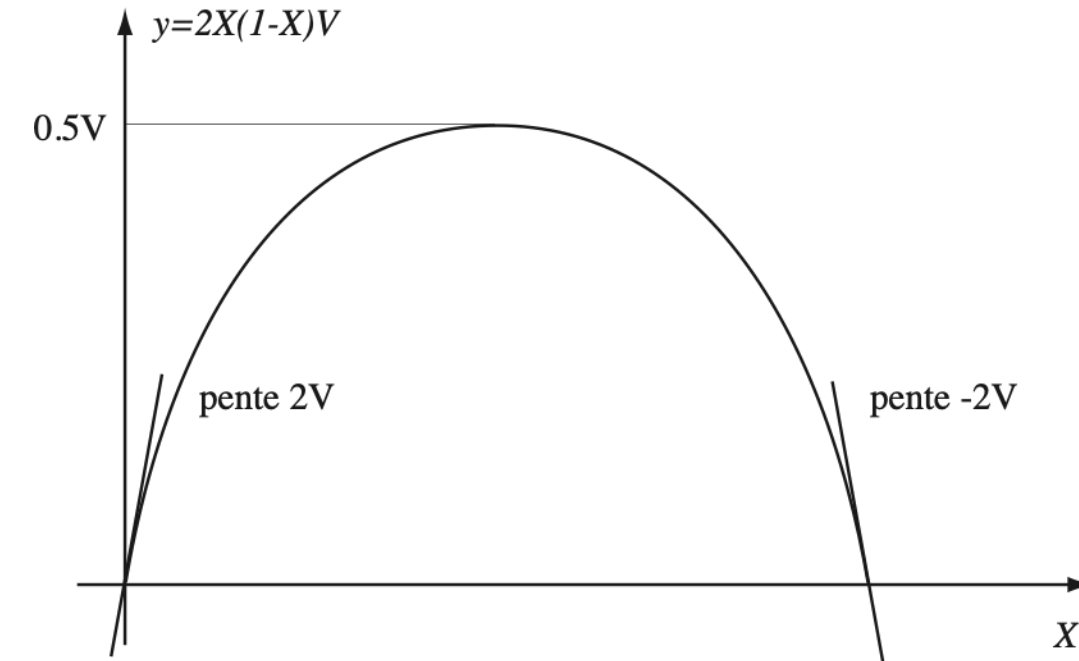
$$\Delta G_m = \Delta H_m - T \Delta S_m = G_A X_A + G_B X_B + \Omega X_A X_B + RT (X_A \ln X_A + X_B \ln X_B)$$



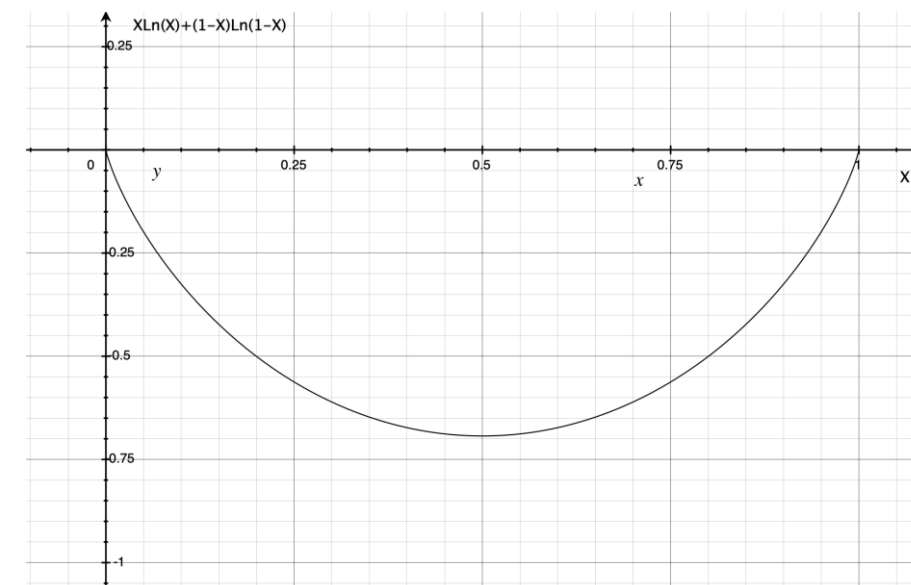
The interaction potential $V(\Omega)$



$$\Delta H_m = \Omega X_A X_B$$



$$-T\Delta S_m = RT(X \ln X + (1-X) \ln(1-X))$$



Chemical potential and activity (real solutions)

$$\Delta G_m = \Delta H_m - T\Delta S_m = G_A X_A + G_B X_B + \Omega X_A X_B + RT(X_A \ln X_A + X_B \ln X_B)$$

$$\Omega X_A X_B = \Omega \left[X_A (1 - X_A)^2 + X_B (1 - X_B)^2 \right]$$

$$\mu_A = G_A + \Omega(1 - X_A)^2 + RT \ln X_A$$

$$\mu_B = G_B + \Omega(1 - X_B)^2 + RT \ln X_B$$

ideal solution

$$\mu_A = G_A + RT \ln X_A$$

$$\mu_B = G_B + RT \ln X_B$$

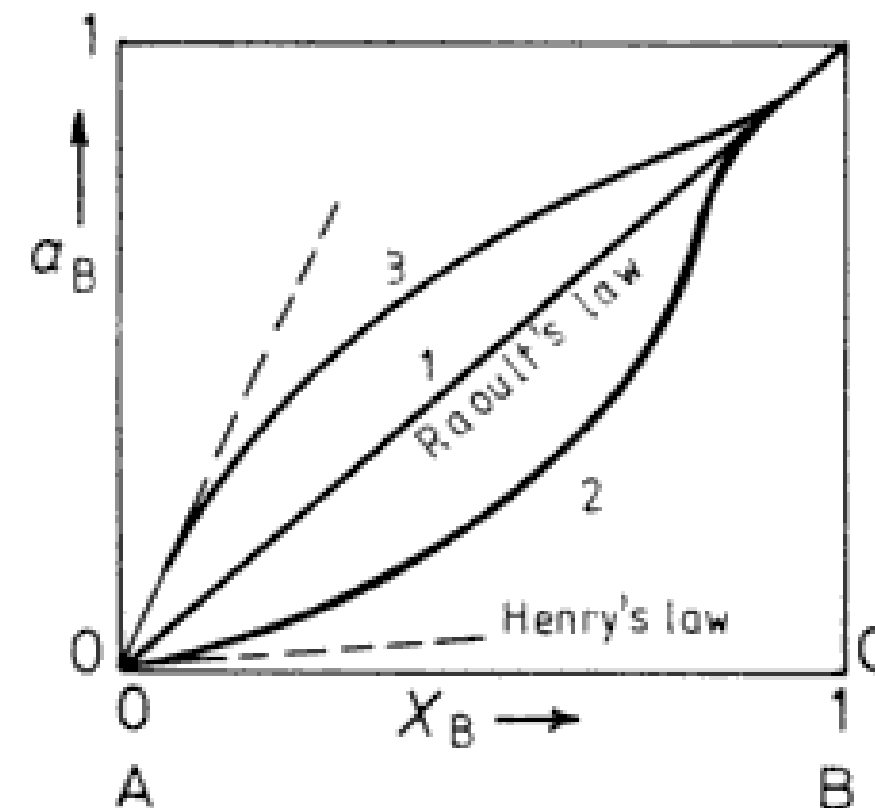
$$\mu_A = G_A + RT \ln a_A$$

$$\mu_B = G_B + RT \ln a_B$$

$$\frac{a_A}{X_A} = \gamma_A$$

activity coefficient

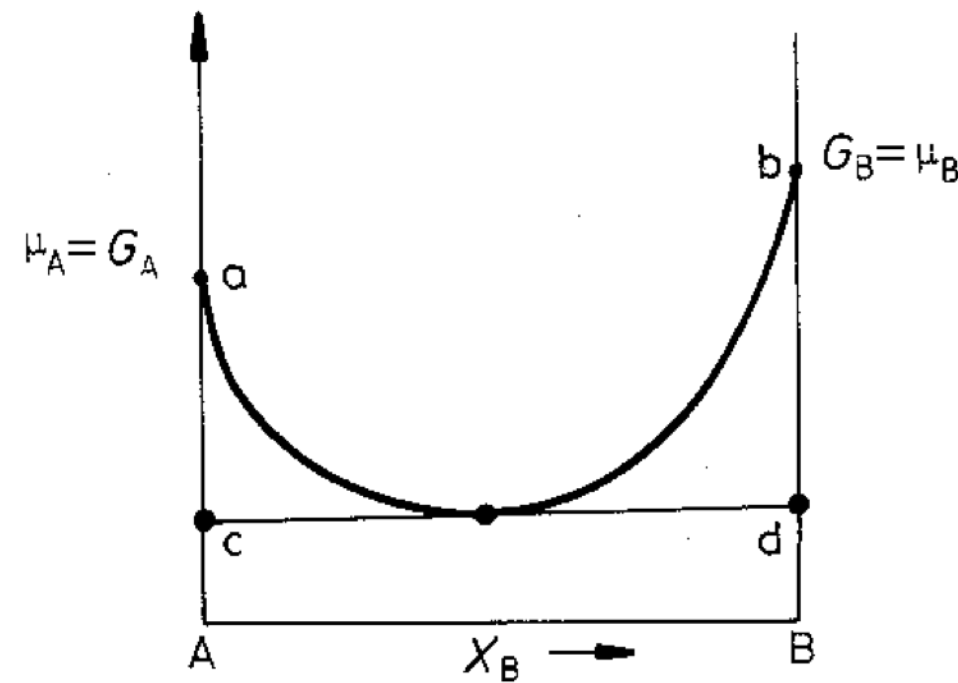
$$\ln \frac{a_A}{X_A} = \frac{\Omega}{RT} (1 - X_A)^2$$



Binary phase diagram

Ideal solution

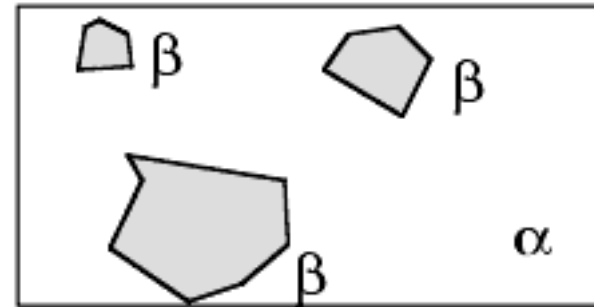
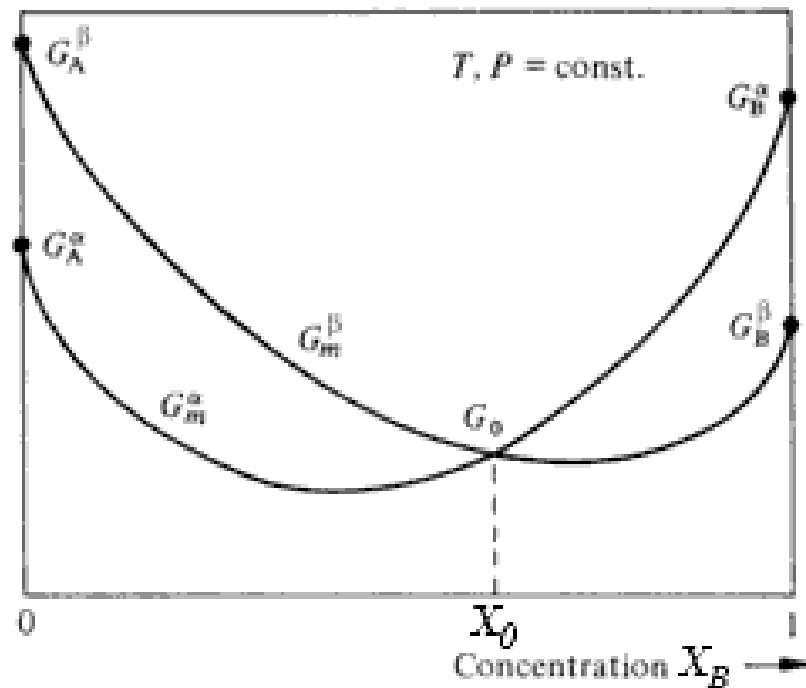
$$\mu_A^\alpha = G_A^\alpha + RT \ln X_A^\alpha \qquad \mu_A^\beta = G_A^\beta + RT \ln X_A^\beta$$



Equilibrium (minimum)

$$\mu_A^\alpha = \mu_A^\beta \qquad \mu_B^\alpha = \mu_B^\beta$$

Binary phase diagram



Concentration $X = X_A$

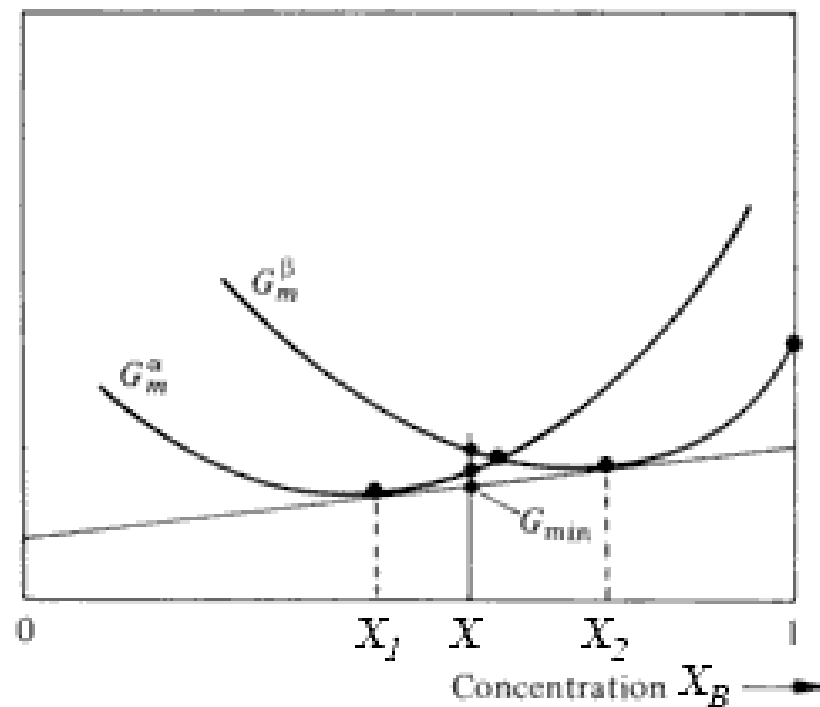
$$X = f^\alpha X^\alpha + f^\beta X^\beta = f^\alpha X^\alpha + (1 - f^\alpha) X^\beta$$

$$f^\alpha = \frac{X^\beta - X}{X^\beta - X^\alpha}$$

$$f^\beta = 1 - f^\alpha = \frac{X - X^\alpha}{X^\beta - X^\alpha}$$

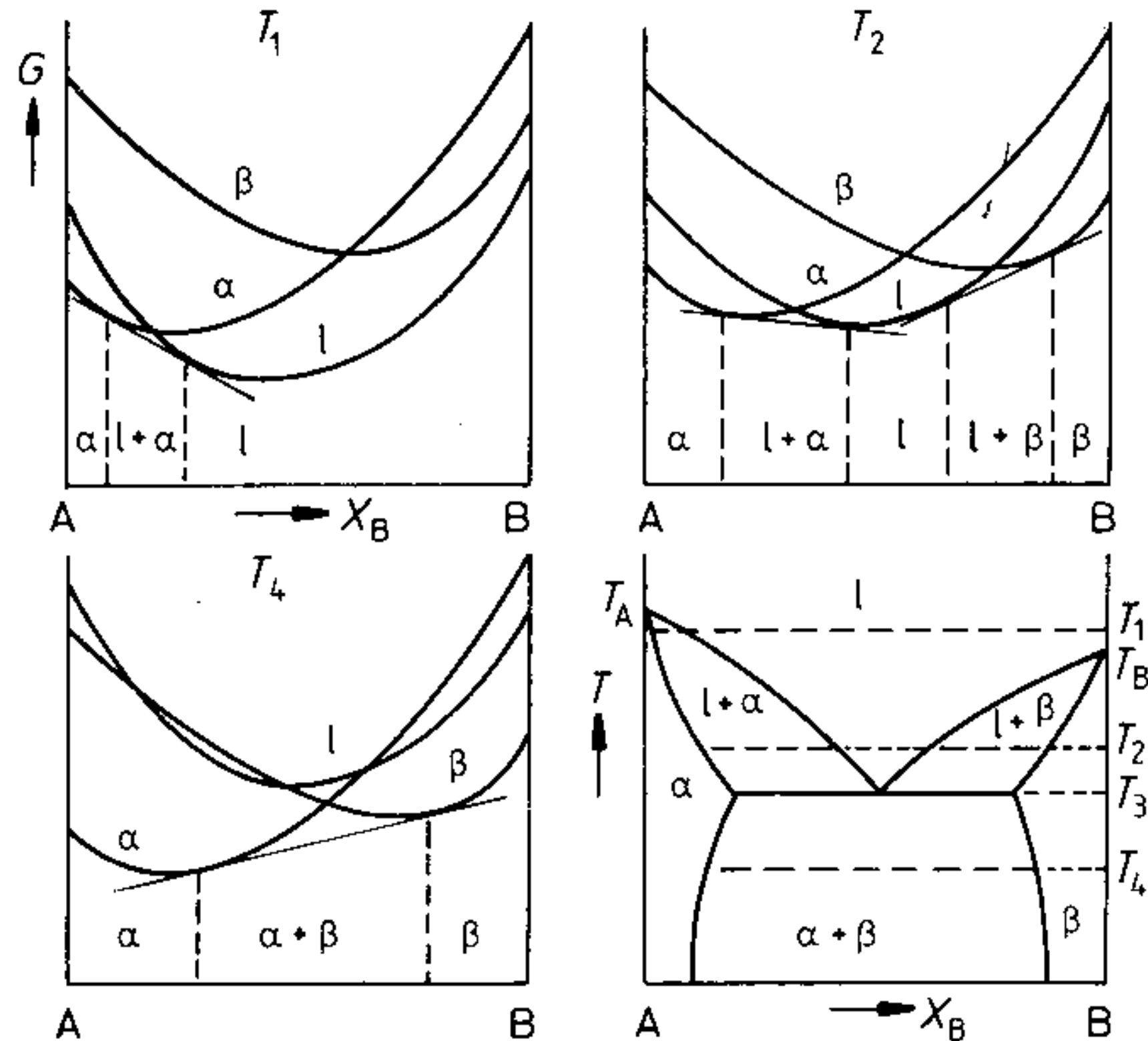
$$G = f^\alpha G^\alpha + f^\beta G^\beta = \frac{X^\beta - X}{X^\beta - X^\alpha} G^\alpha + \frac{X - X^\alpha}{X^\beta - X^\alpha} G^\beta$$

$$G = G^\alpha + \frac{G^\beta - G^\alpha}{X^\beta - X^\alpha} (X - X^\alpha)$$

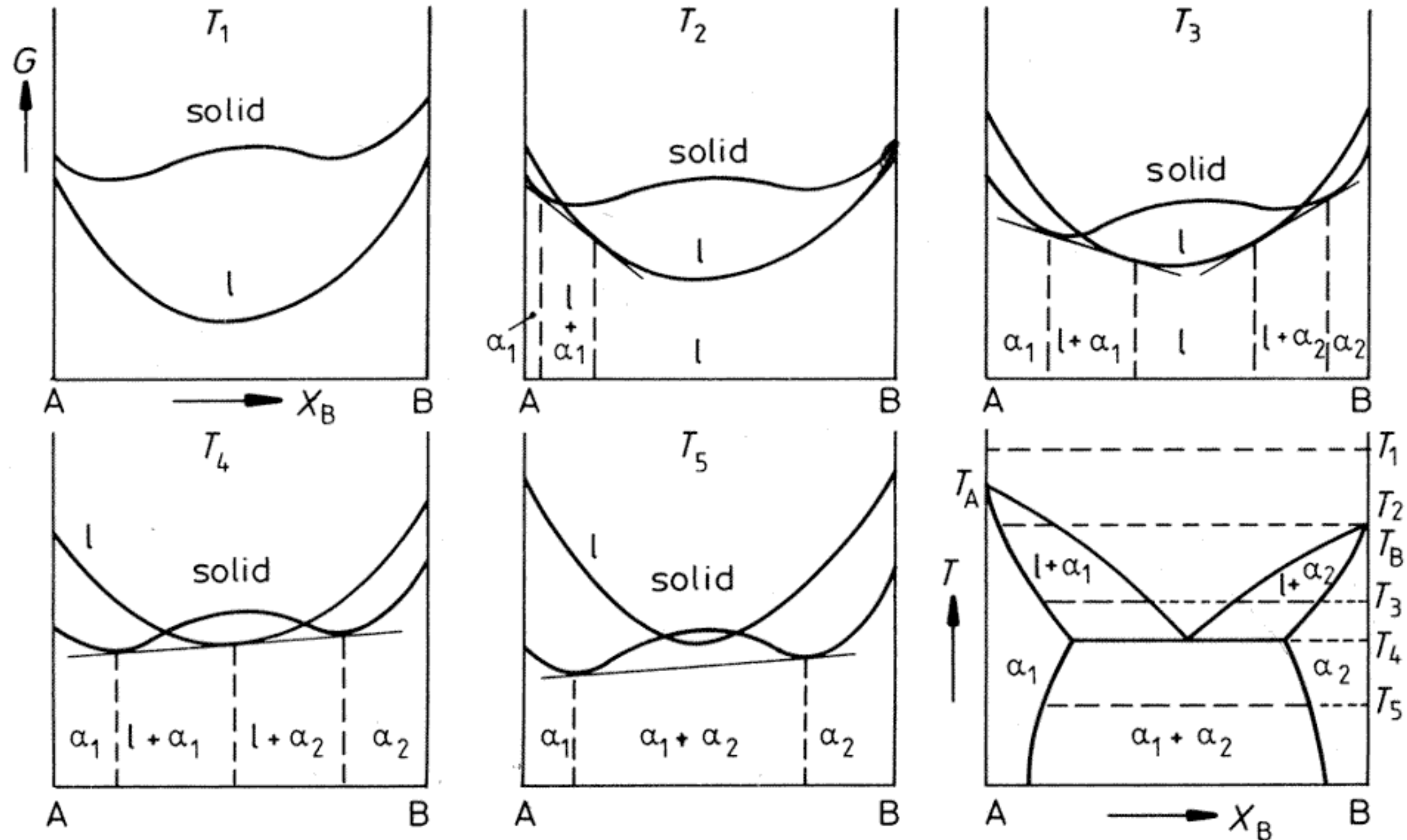


$$G_{\min} = G_{c1}^\alpha + \frac{G_{c2}^\beta - G_{c1}^\alpha}{X^\beta - X^\alpha} (X - X^\alpha) \quad \text{construction of the common tangent}$$

Eutectic binary phase diagram

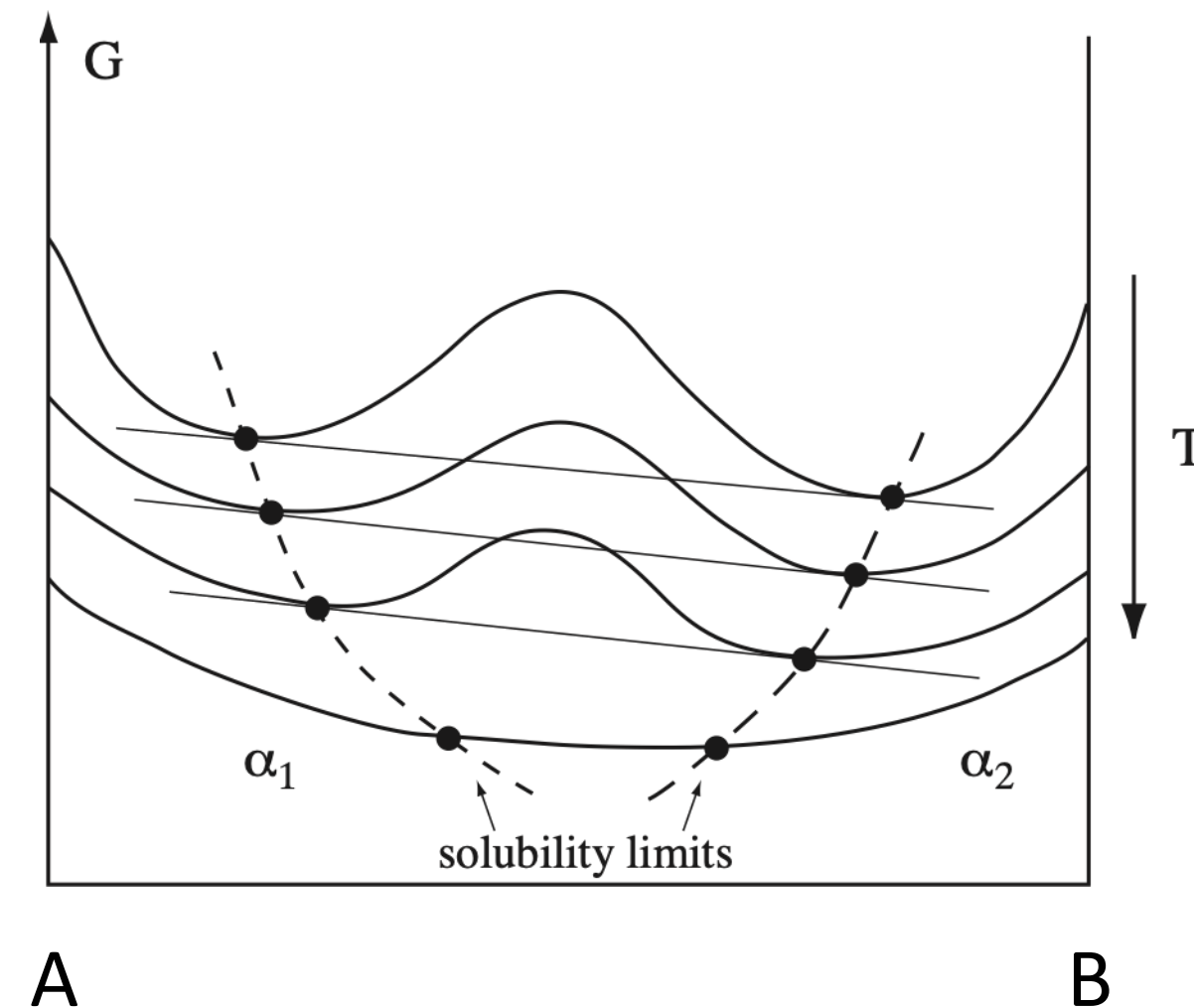
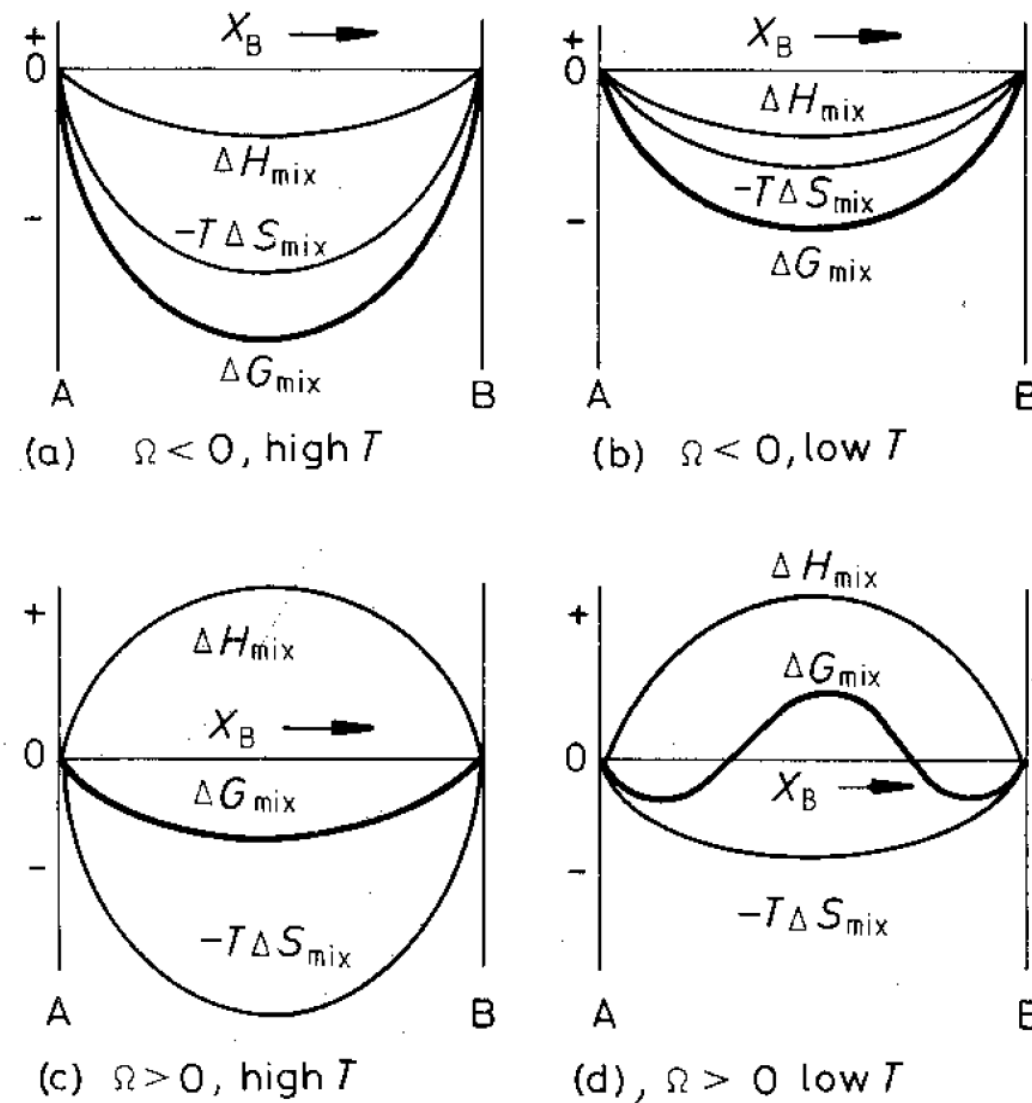


Binary phase diagram (α_1 and α_2 phases)

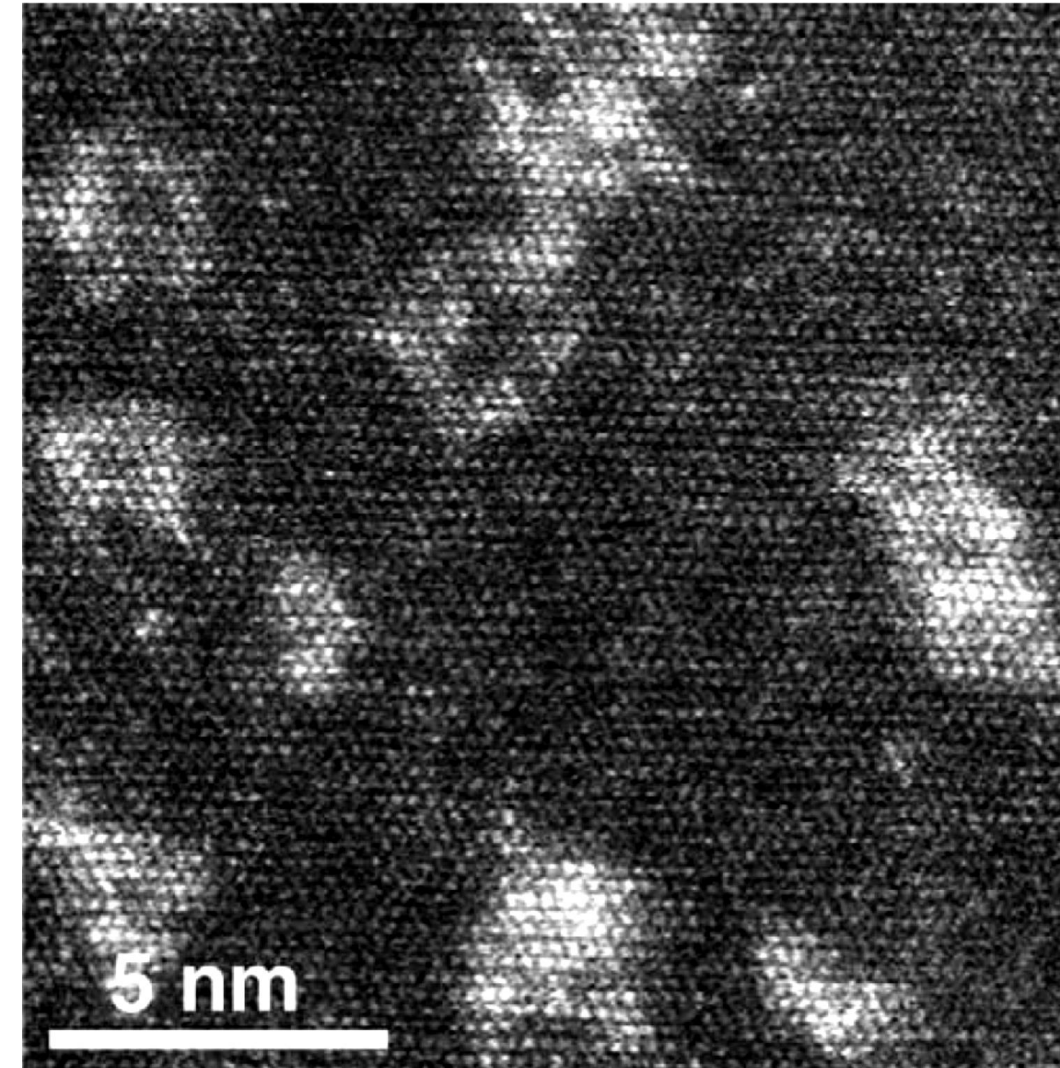
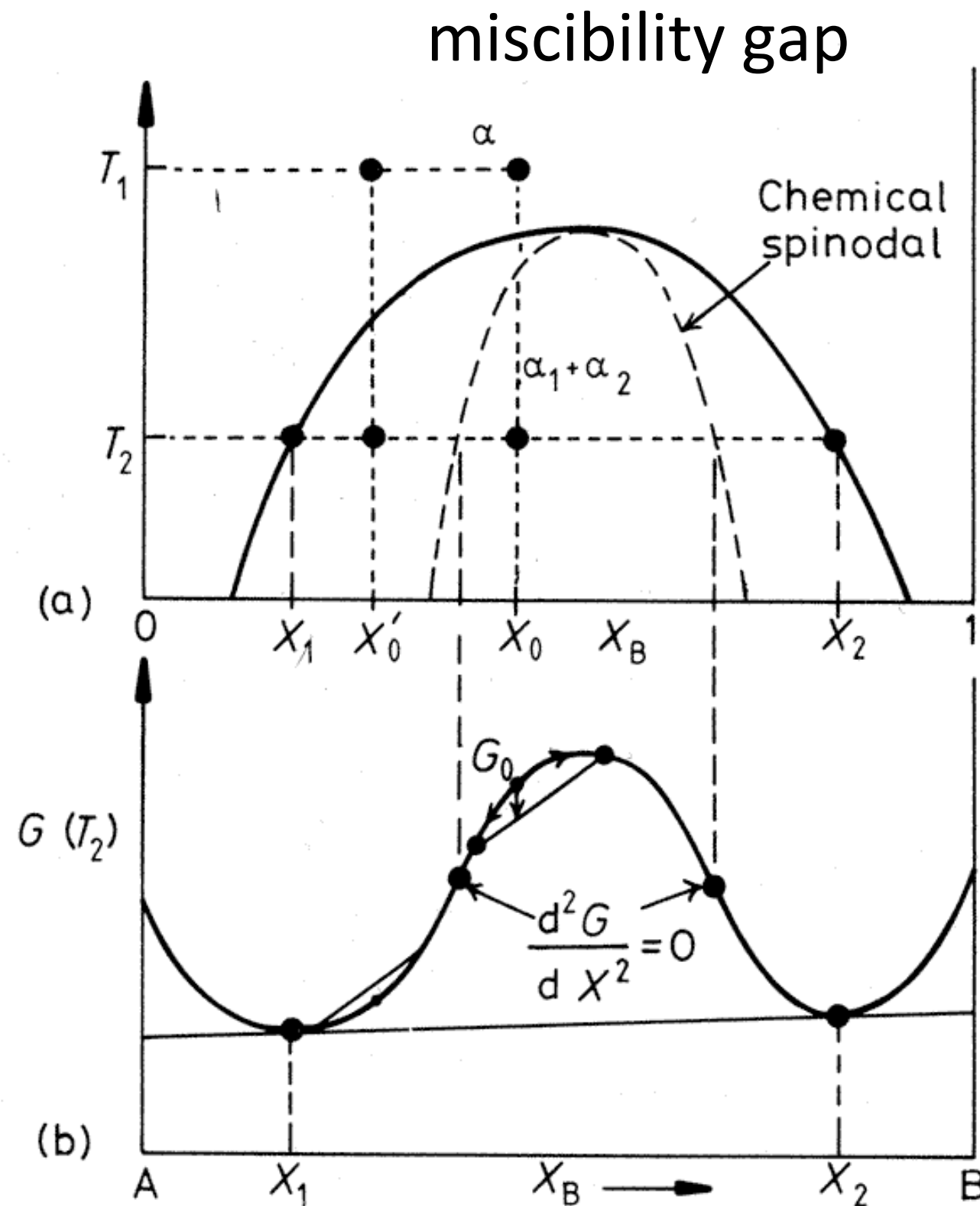


Spinodal diagram

miscibility gap



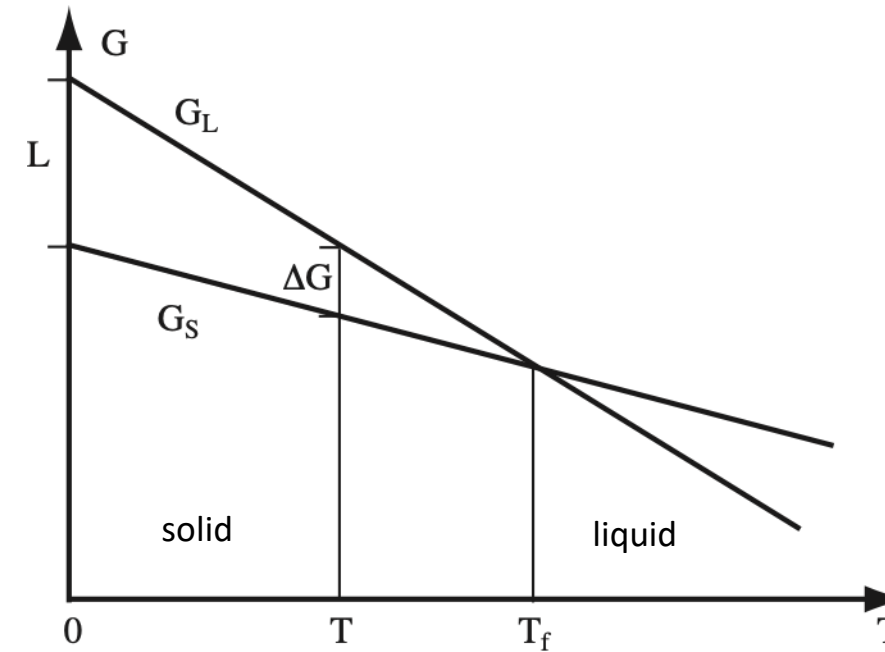
Spinodal diagram



GP zones in Al-Ag

Spinodal
decomposition

Solidification



$$G_L = H_L - TS_L$$

$$G_S = H_S - TS_S$$

$$G_L = G_S \Rightarrow H_L - T_F S_L = H_S - T_F S_S$$

$$\Delta H_F = H_L - H_S = T_F (S_L - S_S) = T_F \Delta S_F$$

$$\Delta H_F = H_L - H_S = L \quad \text{Latent heat}$$

$$\Delta S_F = \frac{L}{T_F}$$

Clausius-Clapeyron equation

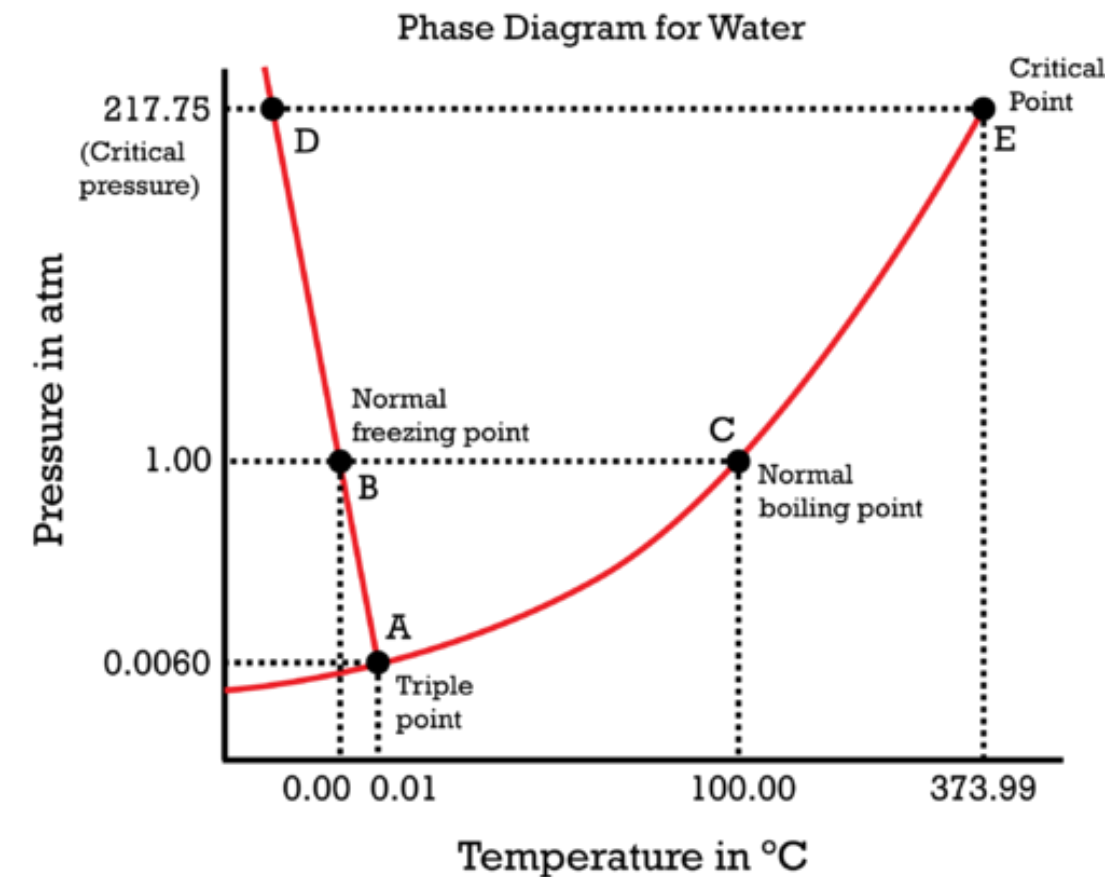
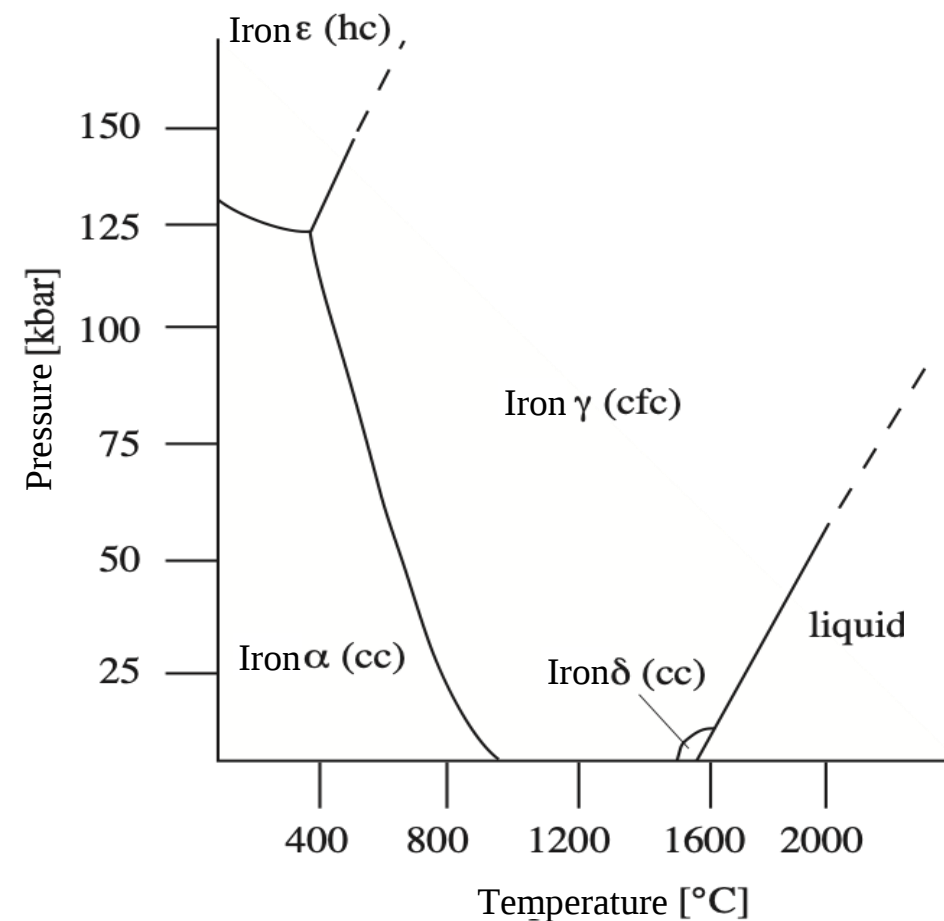
$$dG^\alpha = V^\alpha dP - S^\alpha dT$$

$$dG^\beta = V^\beta dP - S^\beta dT$$

$$dG^\alpha = dG^\beta$$

$$\left(\frac{dP}{dT}\right)_{eq} = \frac{S^\beta - S^\alpha}{V^\beta - V^\alpha} = \frac{\Delta S}{\Delta V}$$

$$\left(\frac{dP}{dT}\right)_{eq} = \frac{\Delta H}{T_{eq} \Delta V}$$



Nucleation

$$T < T_F$$

$$\Delta G = G_L - G_S = \Delta H_F - T(S_L - S_S) = L - T\Delta S$$

$$\Delta G = L - T \frac{L}{T_F} = \frac{L}{T_F} (T_F - T) = \Delta S_F \Delta T \quad \text{Supercooling energy}$$

Energy due to the formation of an interface

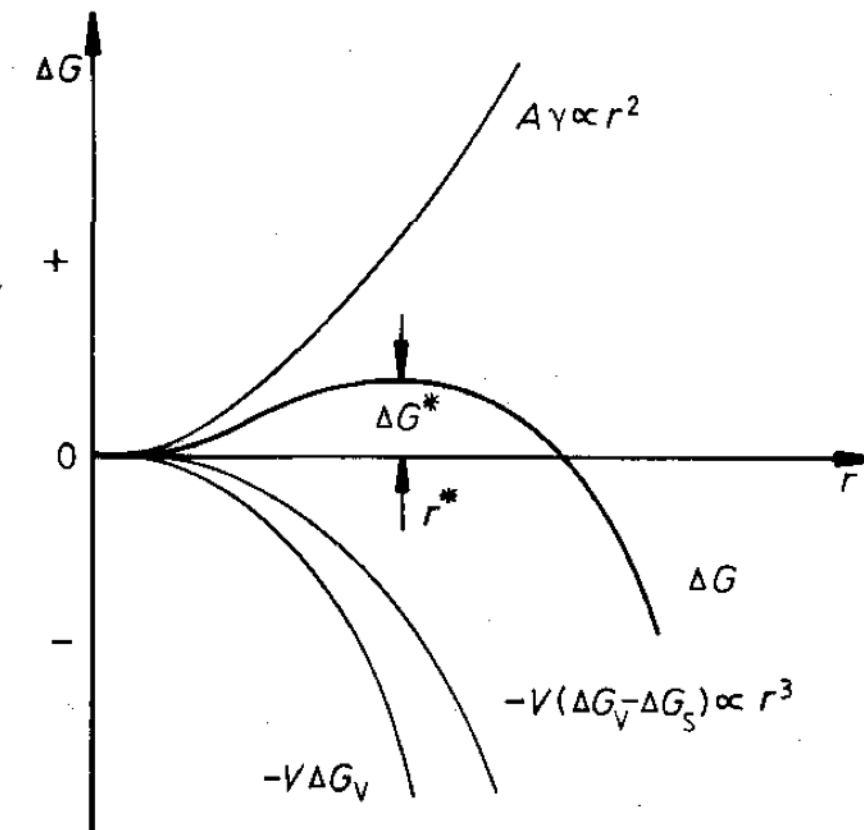
1) homogeneous nucleation

$$\Delta G_g = \Delta g_V V + \Delta g_s s$$

$$\Delta G_g = (g_S - g_L) \frac{4}{3} \pi r^3 + 4 \pi r^2 \gamma = -\frac{4}{3} \pi r^3 \frac{L}{T_F} \Delta T + 4 \pi r^2 \gamma$$

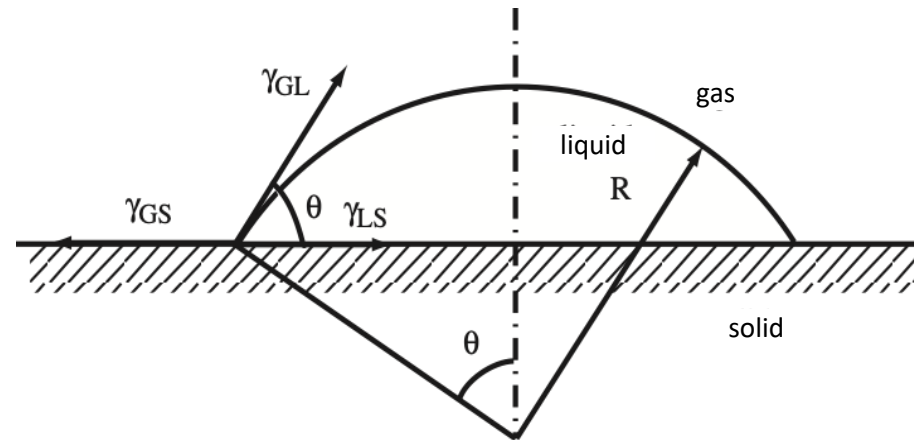
$$r^* = \frac{2\gamma T_F}{L\Delta T}$$

$$\Delta G^* = \frac{16}{3} \pi \gamma^3 \frac{T_F^2}{L^2 \Delta T^2} = \frac{16}{3} \pi \frac{\gamma^3}{\Delta G^2}$$



Nucleation

1) localized (heterogeneous) nucleation



$$\gamma_{GS} = \gamma_{LS} + \gamma_{GL} \cos \theta$$

$$R_c^{loc} = -\frac{2\gamma_{LS}}{\Delta g_V}$$

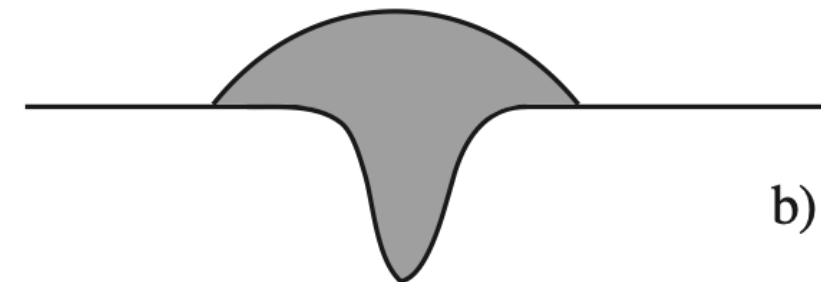
$$\Delta G_c^{loc} = \frac{4}{3} \pi \frac{\gamma_{LS}^3}{\Delta g_V^2} (2 - 3 \cos \theta + \cos^3 \theta)$$

$$\theta = \pi : \Delta G_c^{loc} = \Delta G_c^{gen}$$



a)

$$\theta = 0 : \Delta G_c^{loc} = 0$$



b)

Nucleation statistics

$$N^* = N_\alpha e^{-\frac{\Delta G^*}{kT}} \quad \Delta G^* = \frac{16}{3} \pi \gamma^3 \frac{T_F^2}{L^2 (T_F - T)^2}$$

Nucleation rate

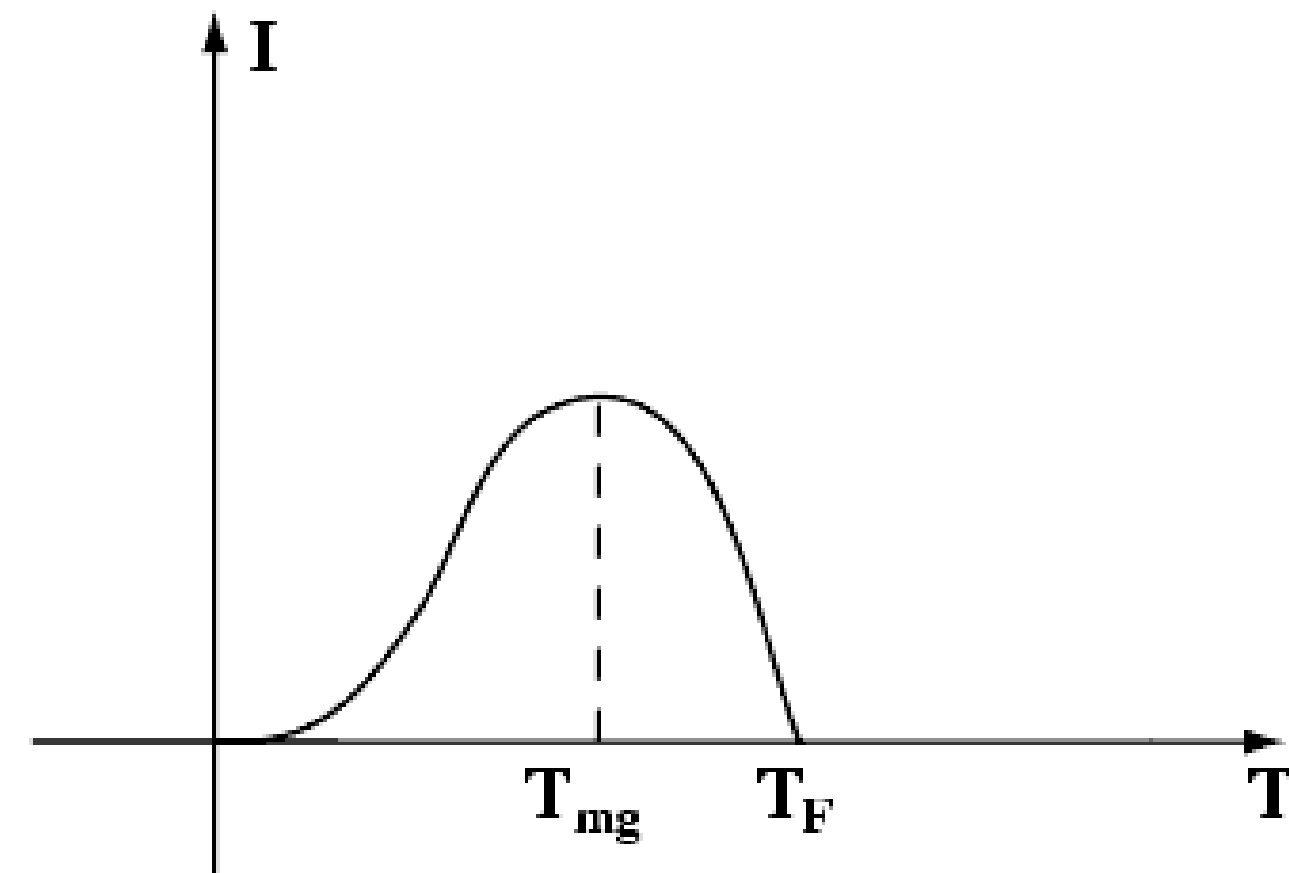
$$I = N^* n_s v_c$$

$$I = I_0 e^{-\frac{\Delta G^* + \Delta G^S}{kT}}$$

$$v_c = v_D z e^{-\frac{\Delta G^S}{kT}}$$

$$T \rightarrow T_F \quad \Delta G^* \rightarrow \infty \quad I \rightarrow 0$$

$$T \rightarrow 0 \quad I \rightarrow 0$$



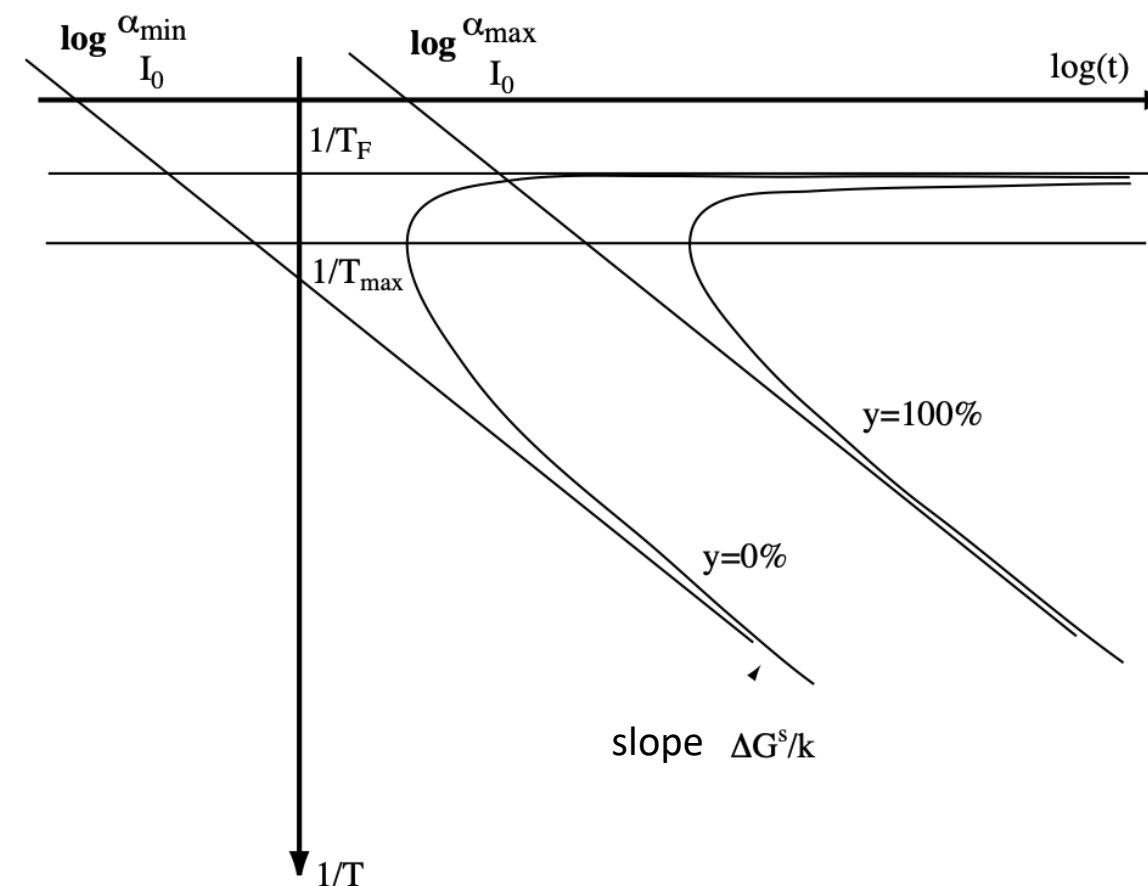
Nucleation statistics

$$\alpha = I \cdot t \text{ number of nuclei/cm}^3$$

$$I = I_0 e^{-\frac{\Delta G^* + \Delta G^S}{kT}} \quad \log t = \log \frac{\alpha}{I_0} + \frac{\Delta G^*}{kT} + \frac{\Delta G^S}{kT}$$

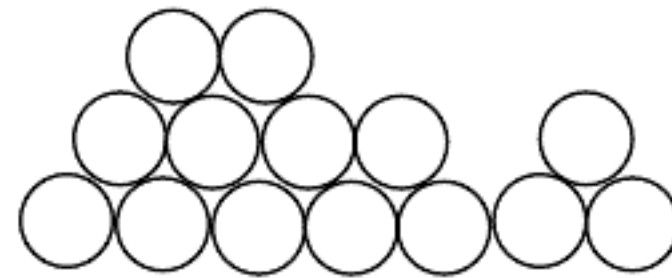
$$T \rightarrow 0 \quad \Delta G^* \text{ is small} \quad \log t \simeq \log \frac{\alpha}{I_0} + \frac{\Delta G^S}{kT} \quad T \rightarrow T_F \quad \Delta G^* \rightarrow \infty \quad \log t \rightarrow \infty$$

Diagram Temperature-Transformation Time (TTT)

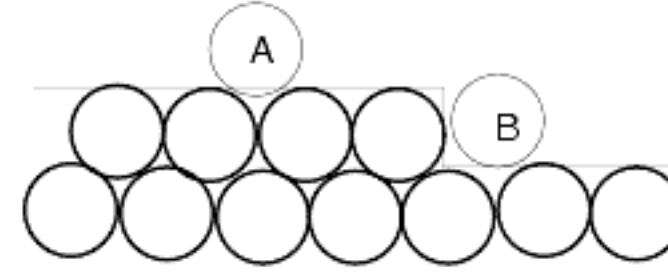


Crystalline growth

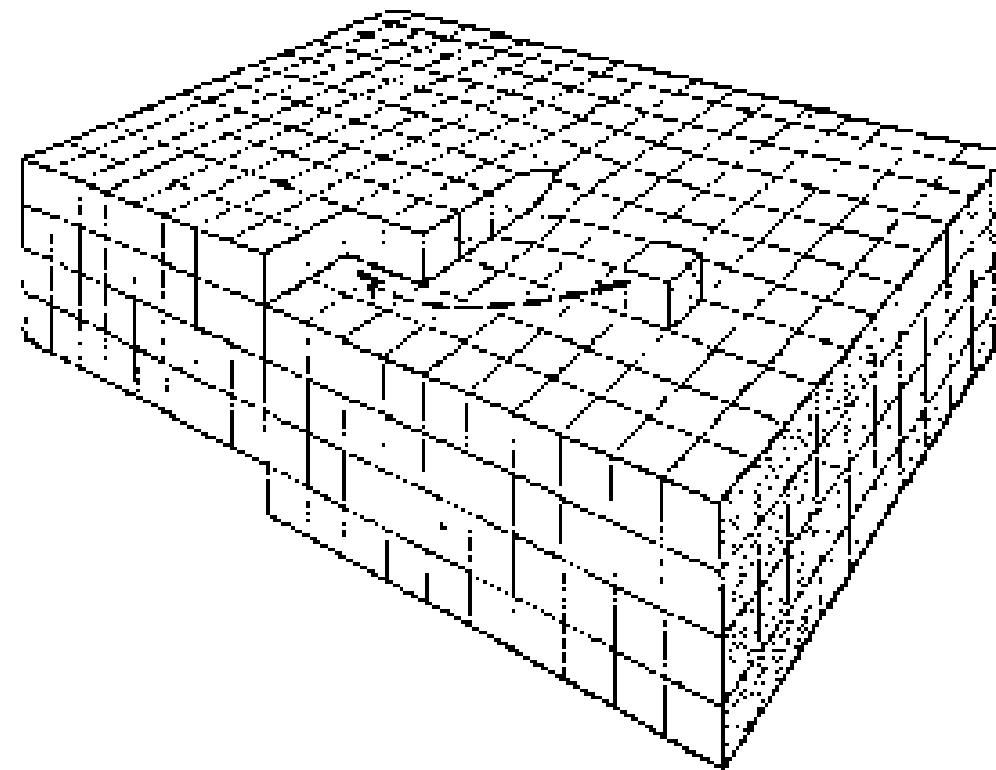
a-rough



b-smooth



$$G_{surf} = H_{surf} - TS_{surf}$$



Dendritic growth

Thermal balance

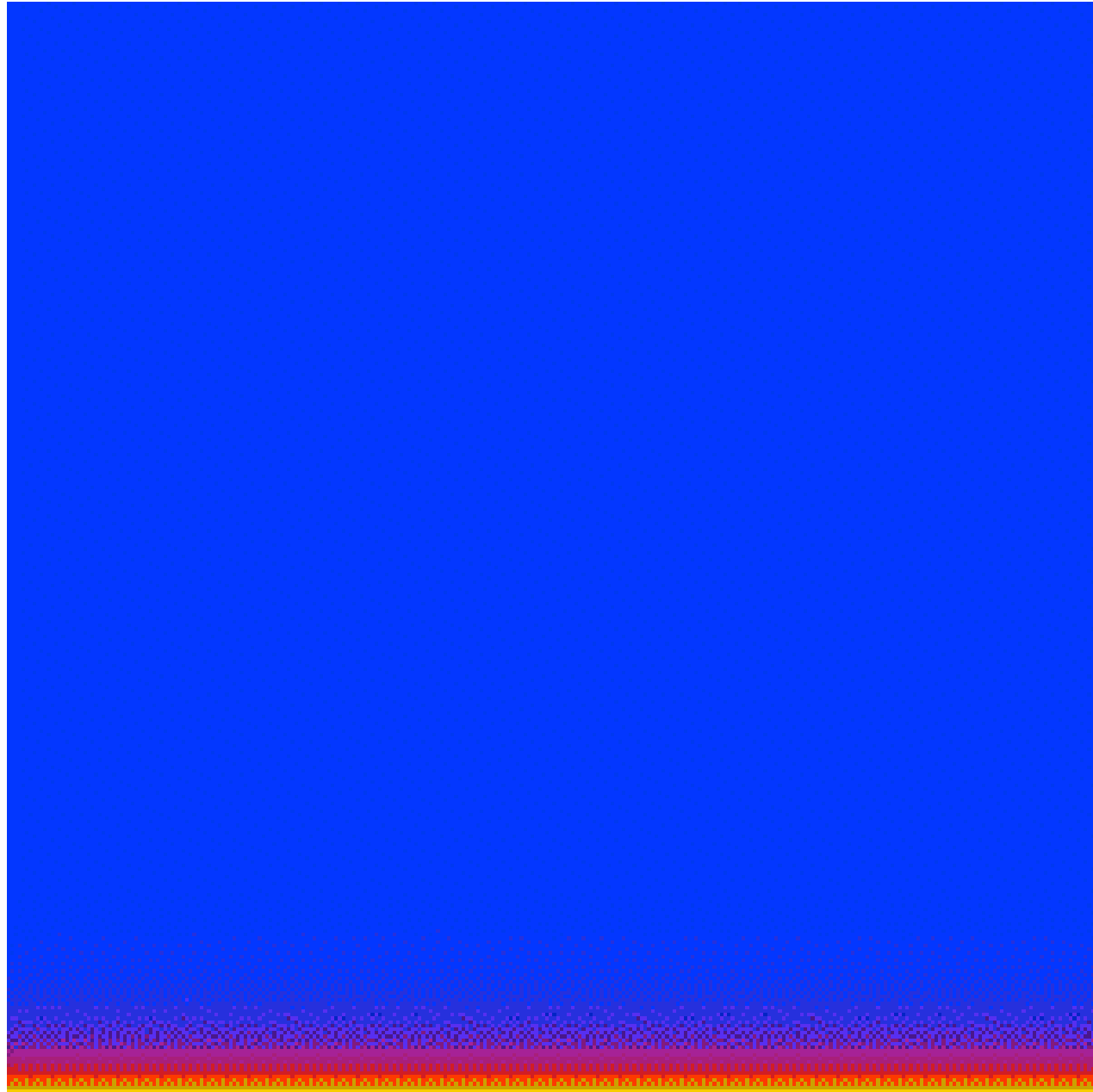
$$\vec{J} = \lambda \overrightarrow{\text{grad} T}$$

λ =thermal conductivity

$$\lambda_s \left(\frac{\partial T}{\partial x} \right)_s = L_V v_i + \lambda_L \left(\frac{\partial T}{\partial x} \right)_L$$

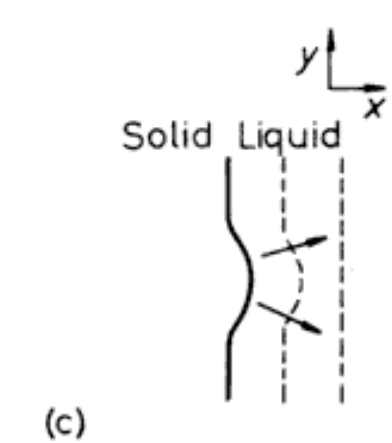
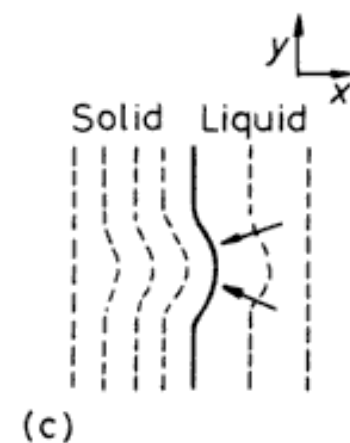
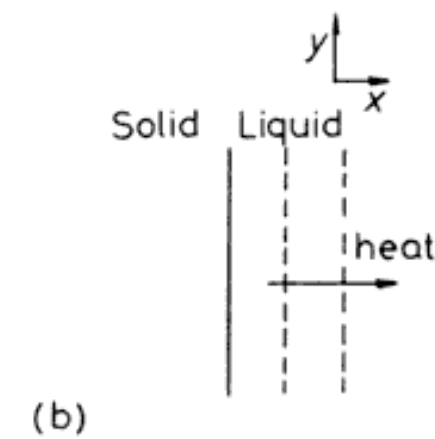
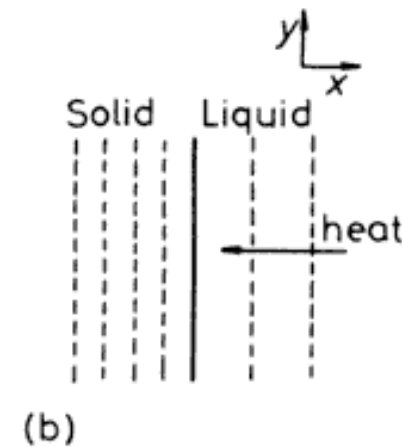
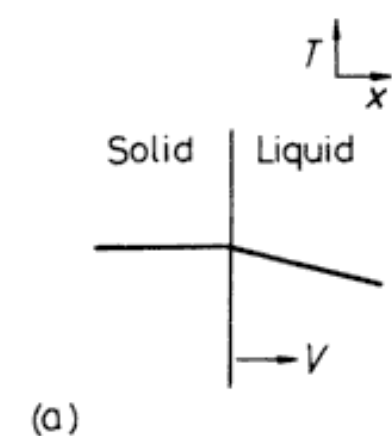
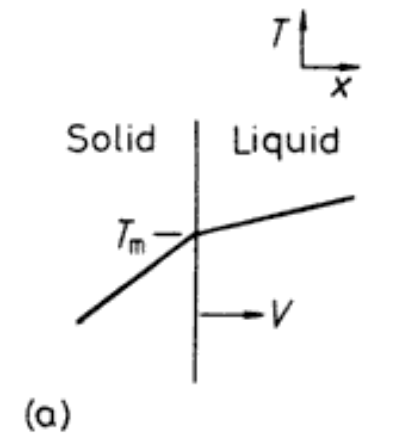
L_V latent heat/
volume unit

$$v_i = \frac{1}{L_V} \left(\lambda_s \left(\frac{\partial T}{\partial x} \right)_s - \lambda_L \left(\frac{\partial T}{\partial x} \right)_L \right)$$



Dendritic growth

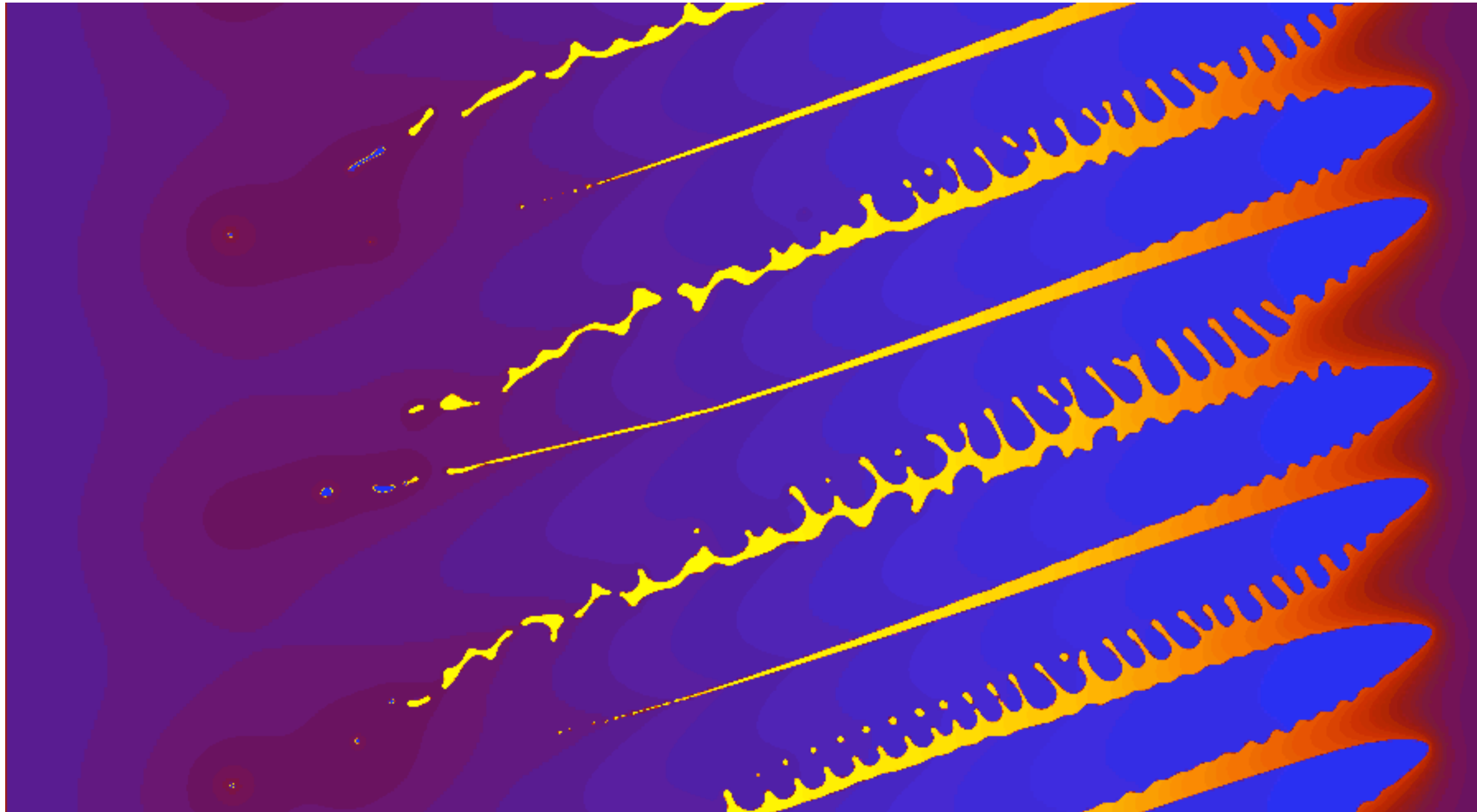
$$v_i = \frac{1}{L_V} \left(\lambda_s \frac{\partial T}{\partial x} \right)_s - \lambda_L \frac{\partial T}{\partial x} \bigg|_L$$



Dendritic growth: simulations

V. Pavlik, U. Dilthey, Aachen University

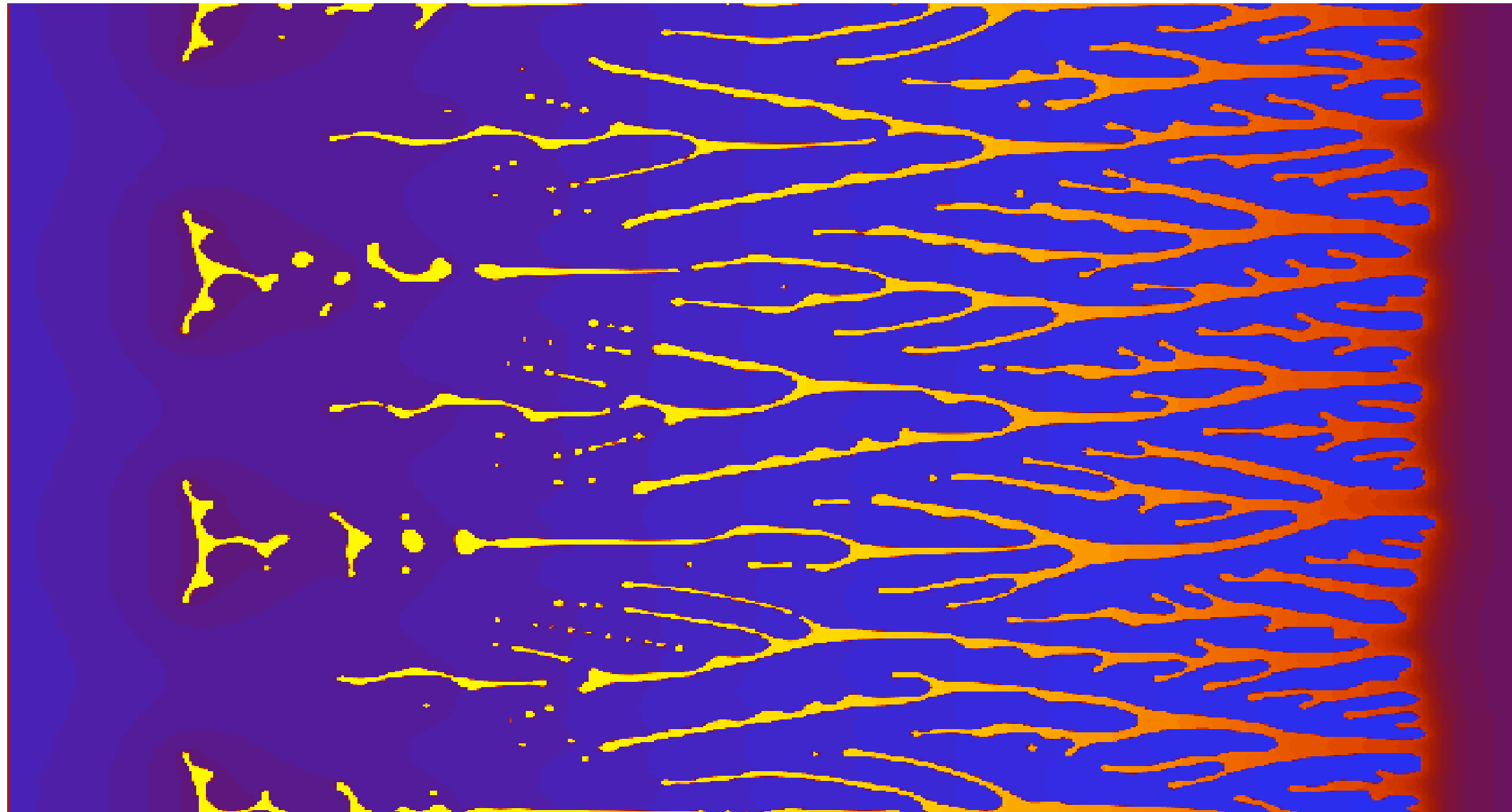
Fe-0.11%C $v=10$ mm/s, $\text{grad}(T)=100$ K/mm



Dendritic growth: simulations

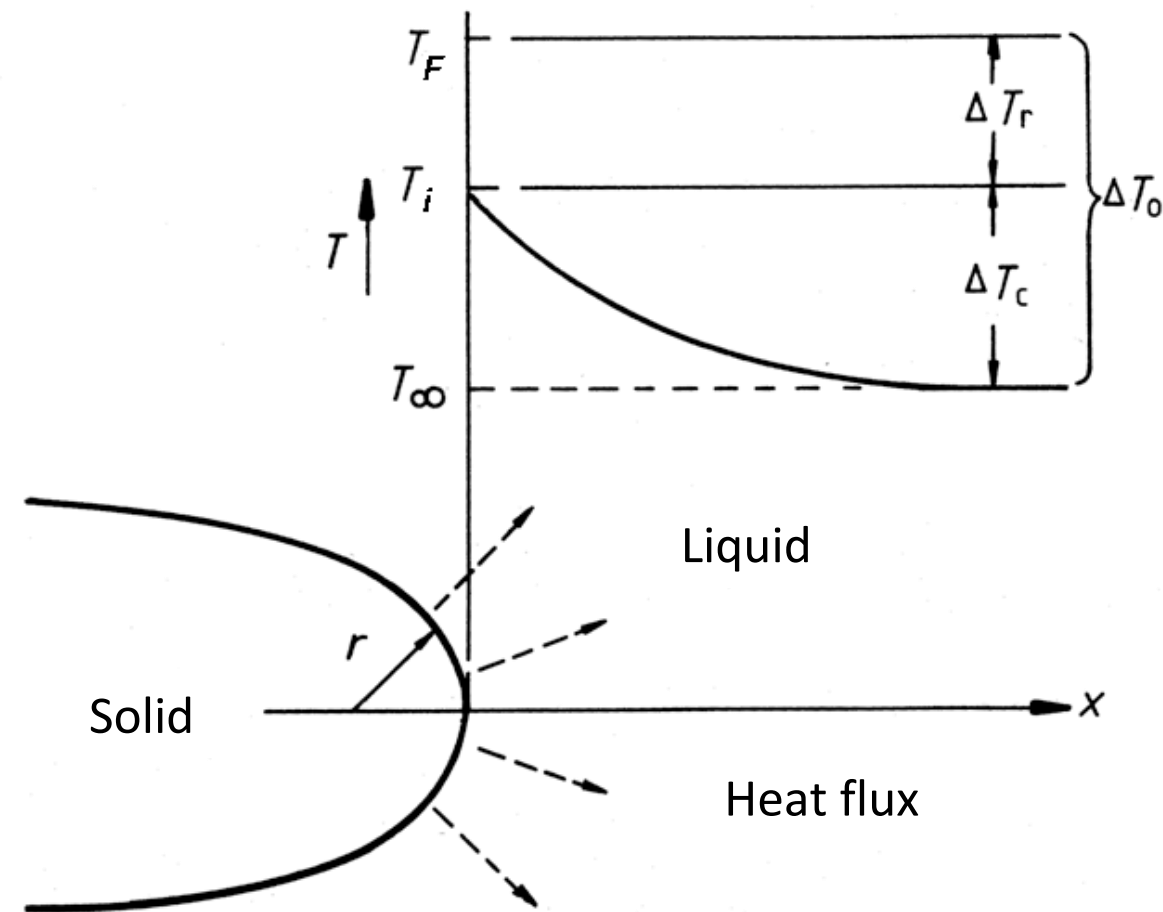
V. Pavlik, U. Dilthey, Aachen University

Fe-0.11%C $v=0.1$ mm/s, $\text{grad}(T)=15$ K/mm



Dendritic growth: effect of the curvature radius, $\lambda_s \ll \lambda_L$

$$\left. \frac{\partial T}{\partial x} \right)_L = \frac{T_\infty - T_i}{\alpha r} = -\frac{\Delta T_c}{\alpha r} \quad v_i = -\frac{\lambda_L}{L_V} \left. \frac{\partial T}{\partial x} \right)_L \quad v_i = -\frac{\lambda_L}{L_V} \frac{\Delta T_c}{\alpha r}$$

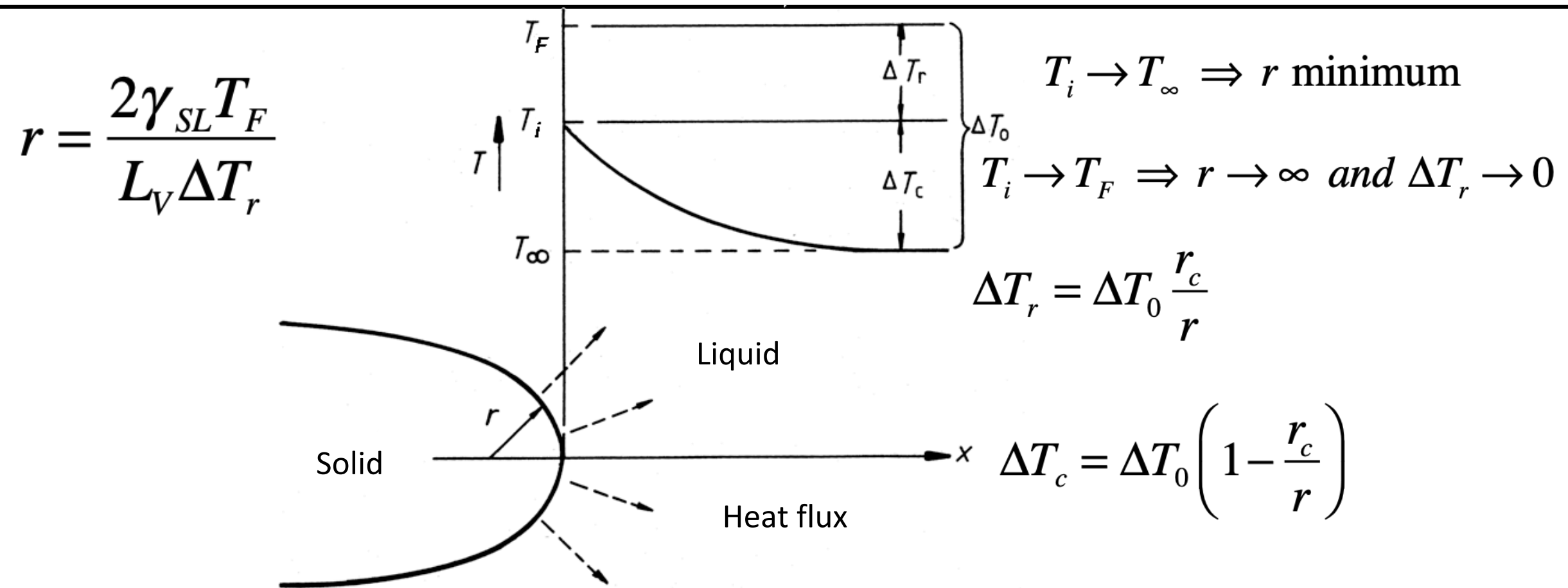


$$r^* = \frac{2\gamma T_F}{L\Delta T} \Rightarrow r = \frac{2\gamma_{SL} T_F}{L_V (T_F - T_i)} = \frac{2\gamma_{SL} T_F}{L_V \Delta T_r}$$

$$T_i \rightarrow T_\infty \Rightarrow r \text{ minimum}$$

$$T_i \rightarrow T_F \Rightarrow r \rightarrow \infty$$

Dendritic growth: effect of the curvature radius, $\lambda_S \ll \lambda_L$

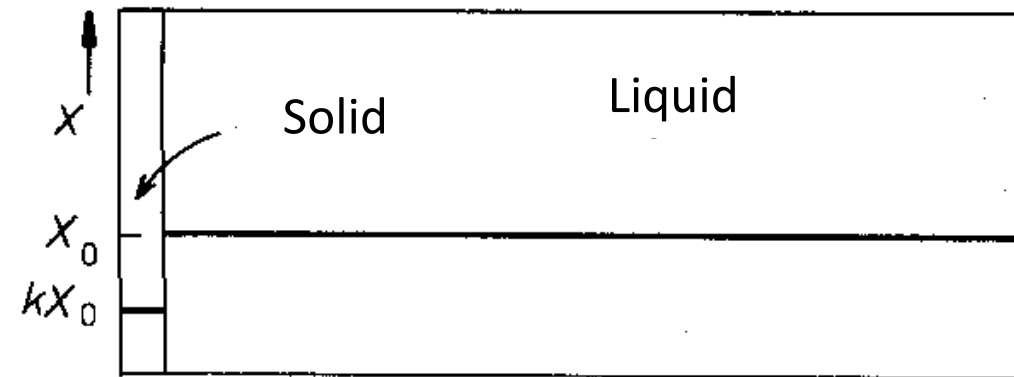


$r > r_c \Rightarrow T_i > T_\infty$ solidification produces heat

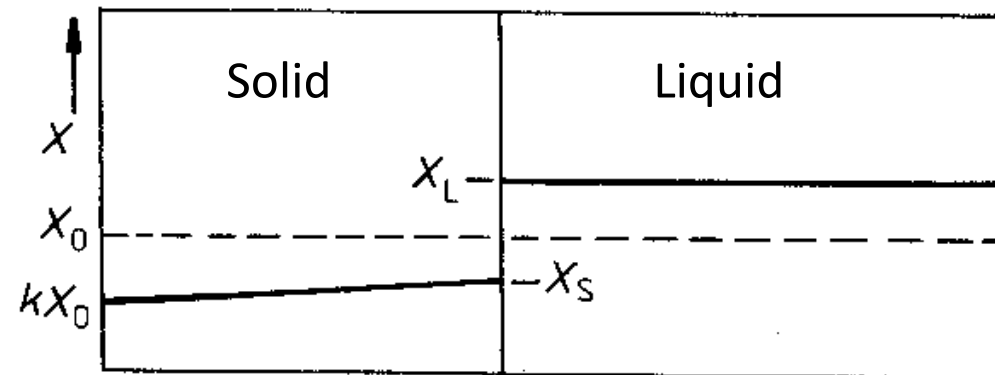
$r < r_c \Rightarrow T_i < T_\infty$ melting absorbs heat: the dendrite melts

$$v_i = -\frac{\lambda_L}{L_V} \frac{\Delta T_0}{\alpha r} \left(1 - \frac{r_c}{r}\right) \quad \text{max for } r = 2r_c$$

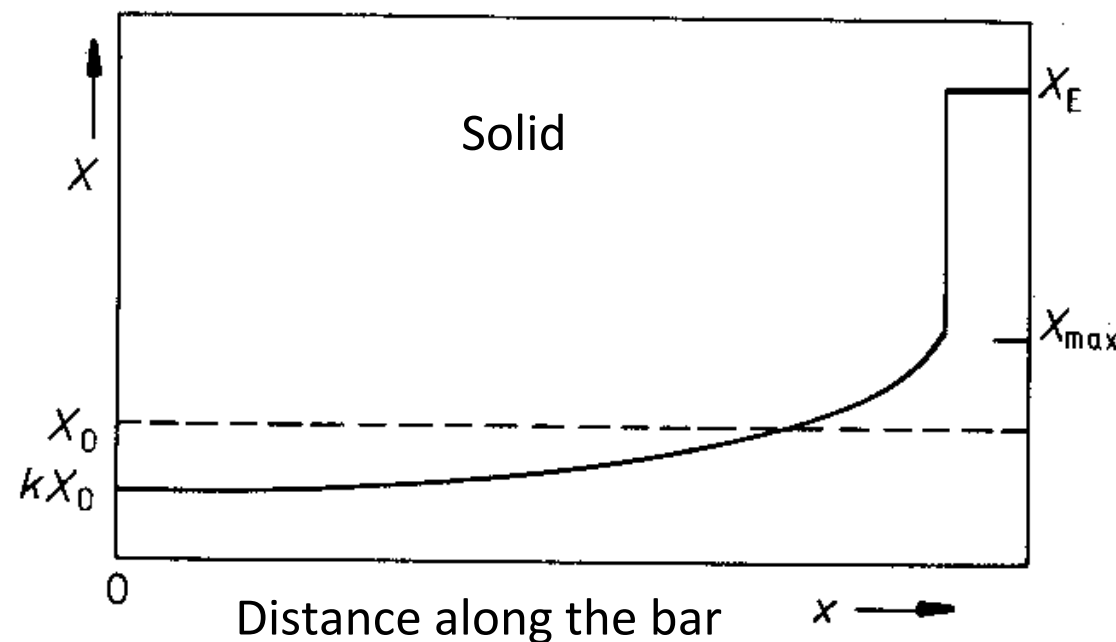
Thermodynamic equilibrium in a liquid - no diffusion in the solid (Scheil law)



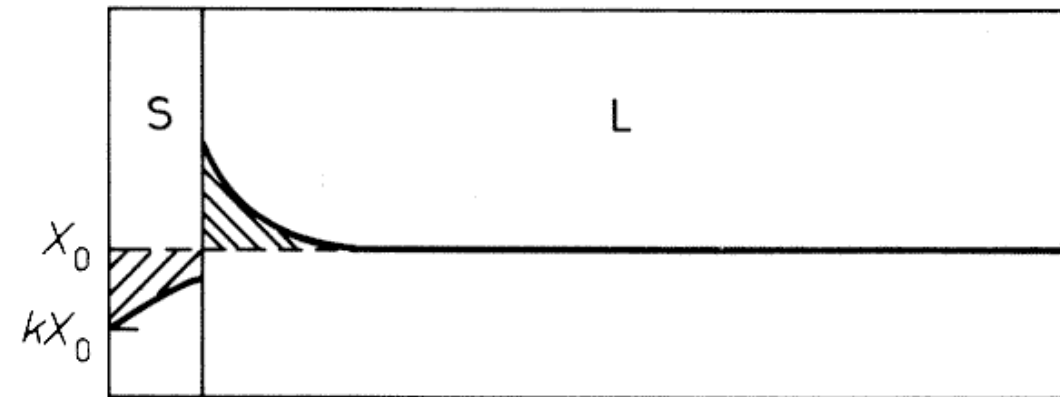
$$X_S = kX_0 (1 - f_s)^{(k-1)}$$



$$X_L = X_0 (f_L)^{(k-1)}$$

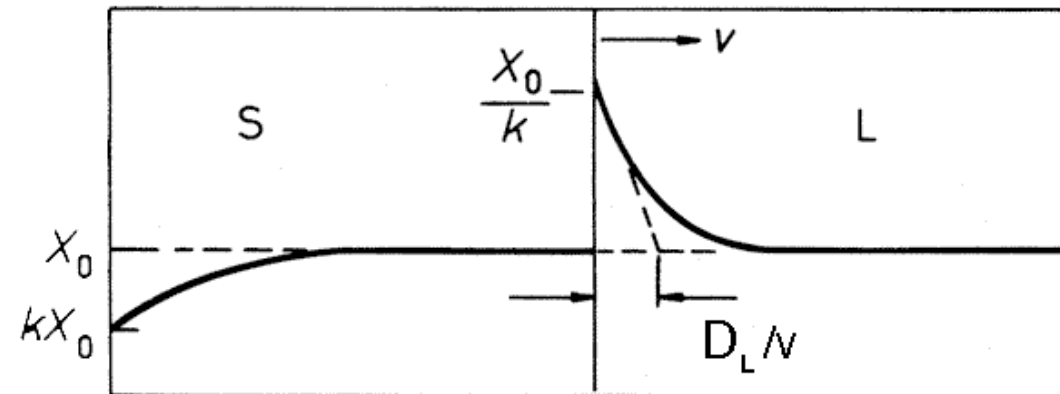


Controlled solidification by diffusion in the liquid phase

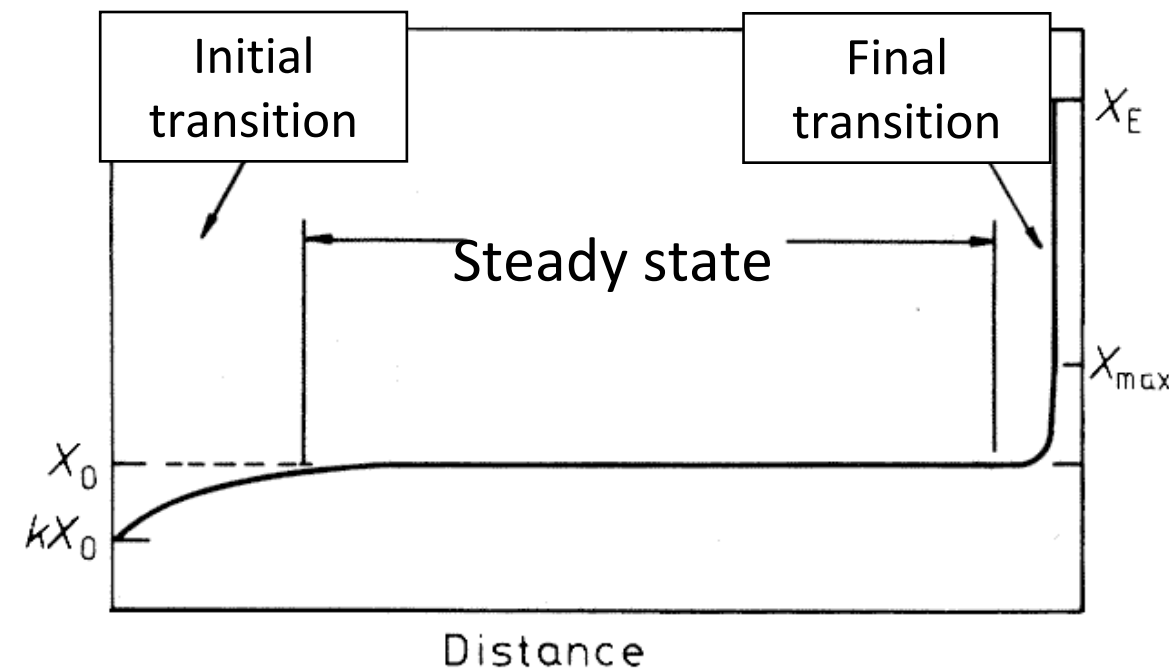


$$vX_S = vX_L + D_L \frac{\partial X_L}{\partial x}$$

$$v(X_S - X_L) = D_L \frac{\partial X_L}{\partial x}$$



$$X_L(x) = X_0 \left[1 + \frac{1-k}{k} \exp\left(-\frac{(1-k)x}{D_L/v}\right) \right]$$



$$X_S = kX_L \quad \text{with} \quad k < 1$$

Constitutional supercooling

$$T_e = T_0 + k_L X_L$$

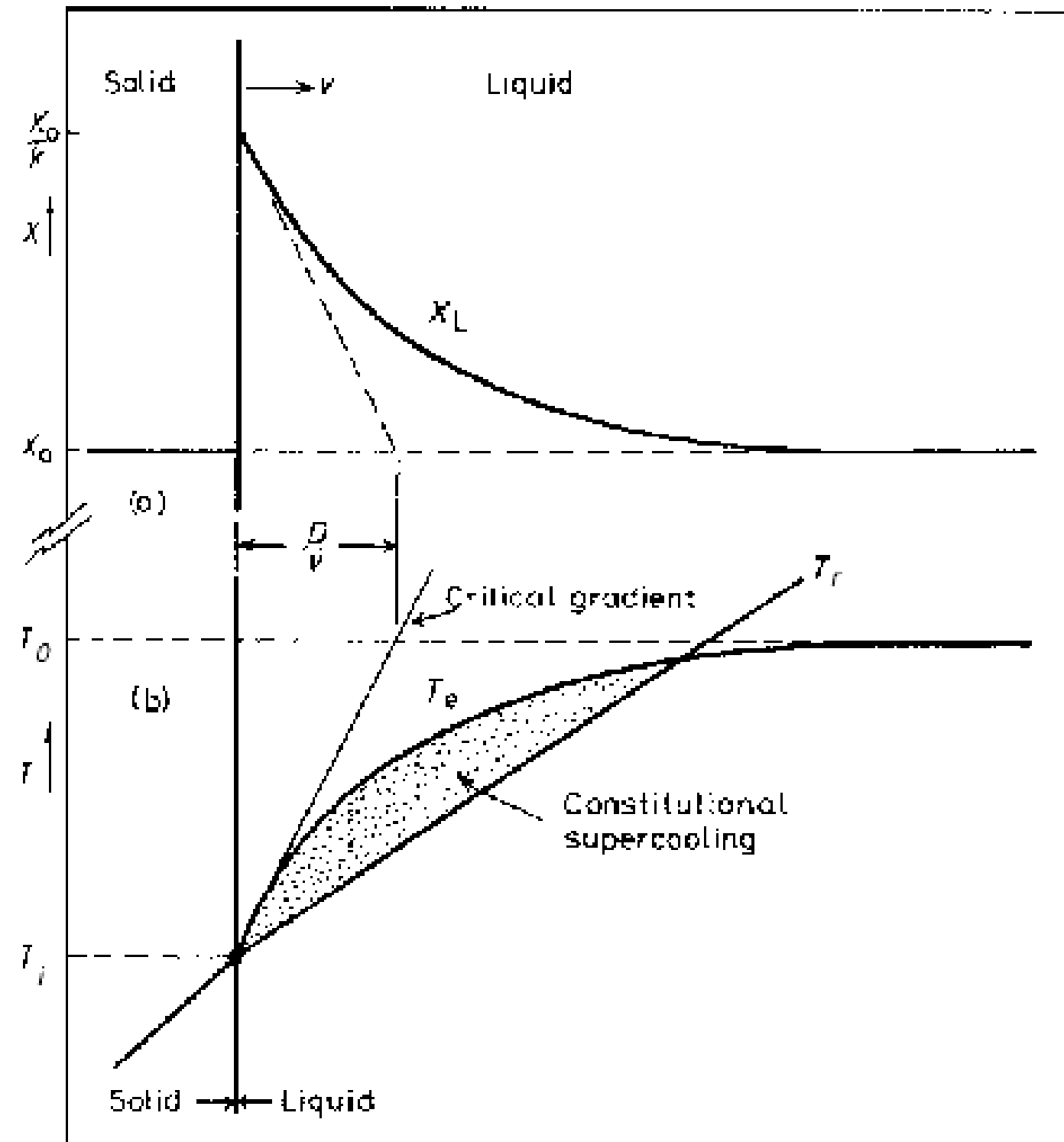
$$T_e = T_0 + k_L X_0 \left[1 + \frac{1-k}{k} \exp\left(-\frac{(1-k)x}{D_L/v}\right) \right]$$

At the solidification front

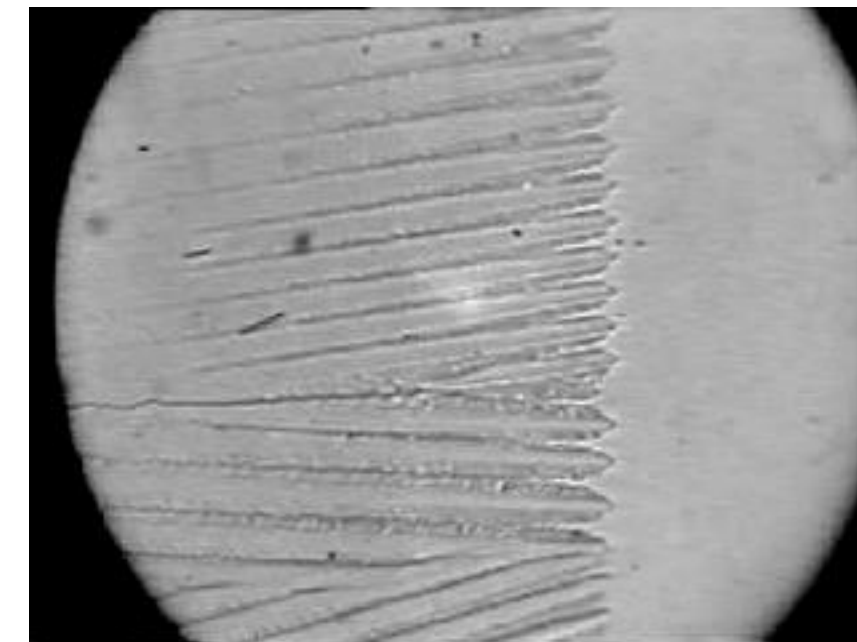
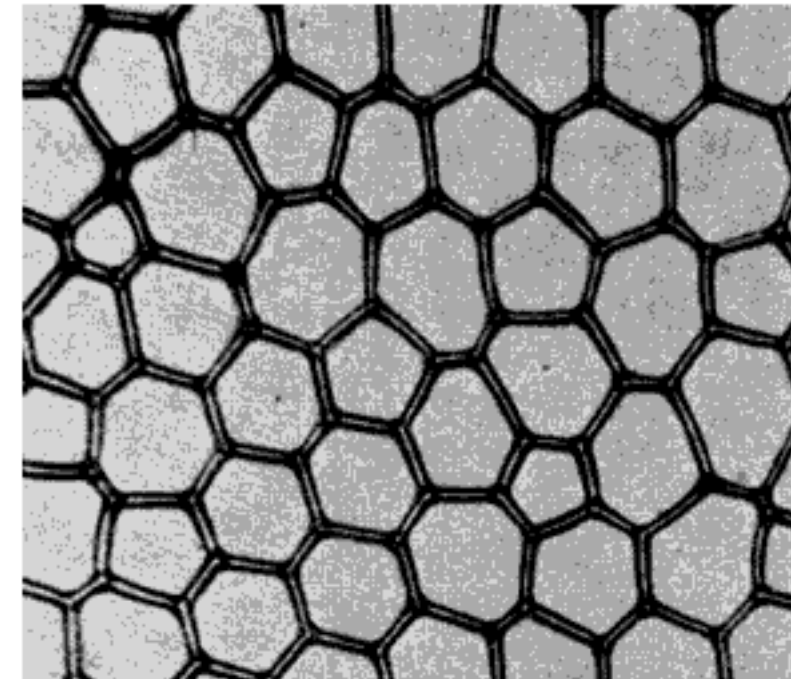
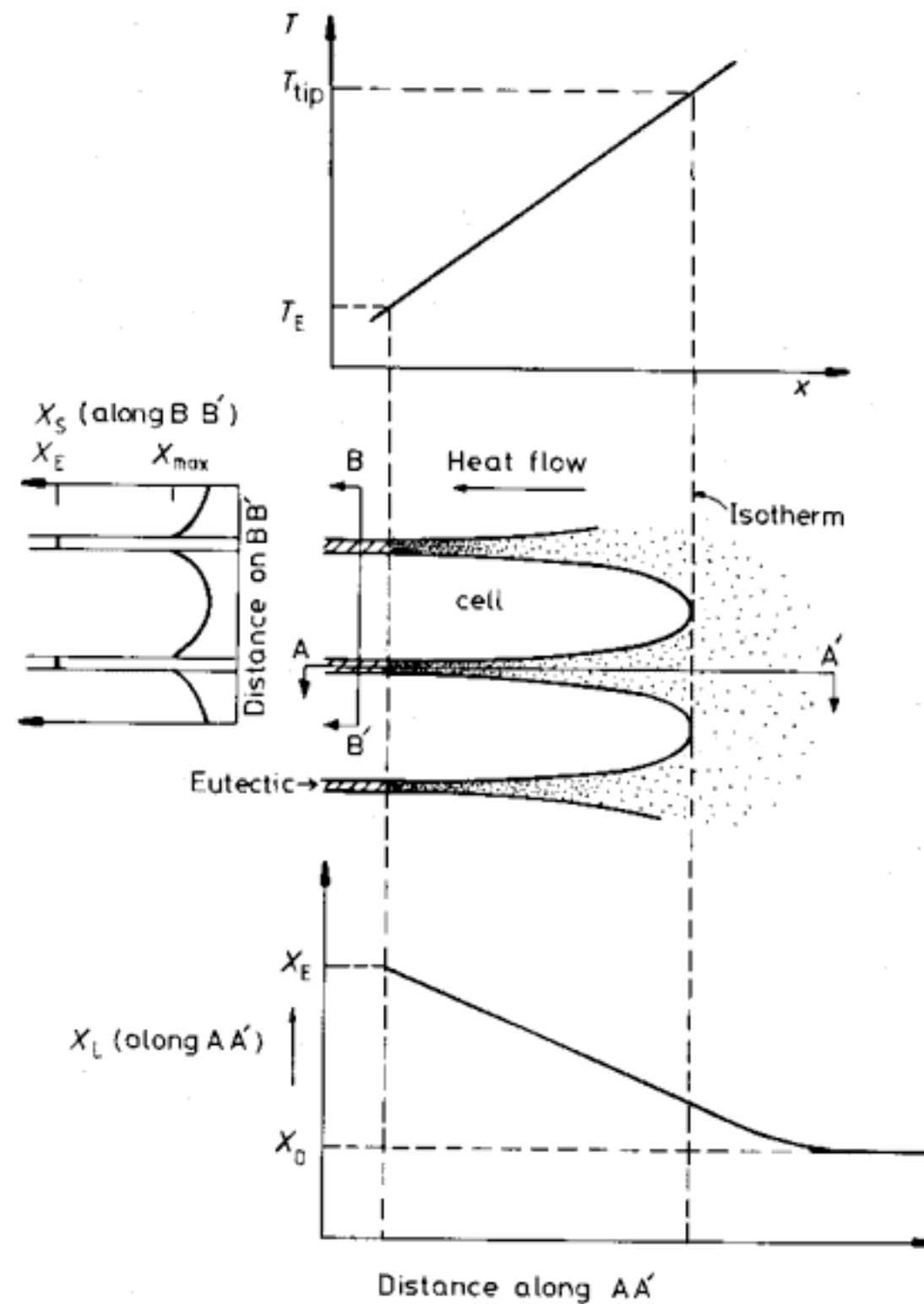
$$T_r = T_i + \left(\frac{\partial T}{\partial x}\right)_L x = T_0 + k_L \left(\frac{X_0}{k}\right) + \left(\frac{\partial T}{\partial x}\right)_L x$$

progress condition of the
solidification front

$$\left(\frac{\partial T}{\partial x}\right)_L < \frac{k_L X_0 v (1-k)^2}{k D_L}$$



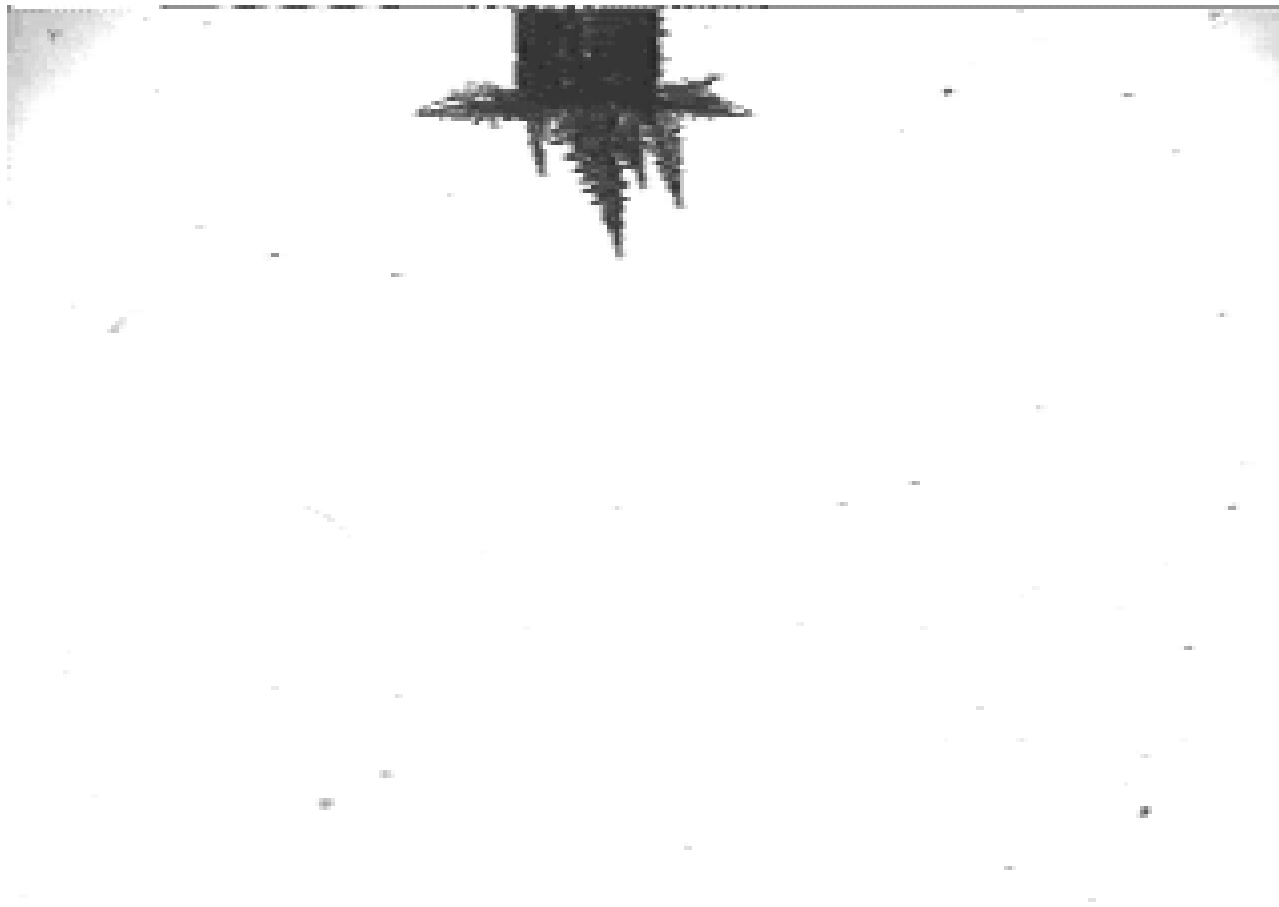
Growth of a cellular structure



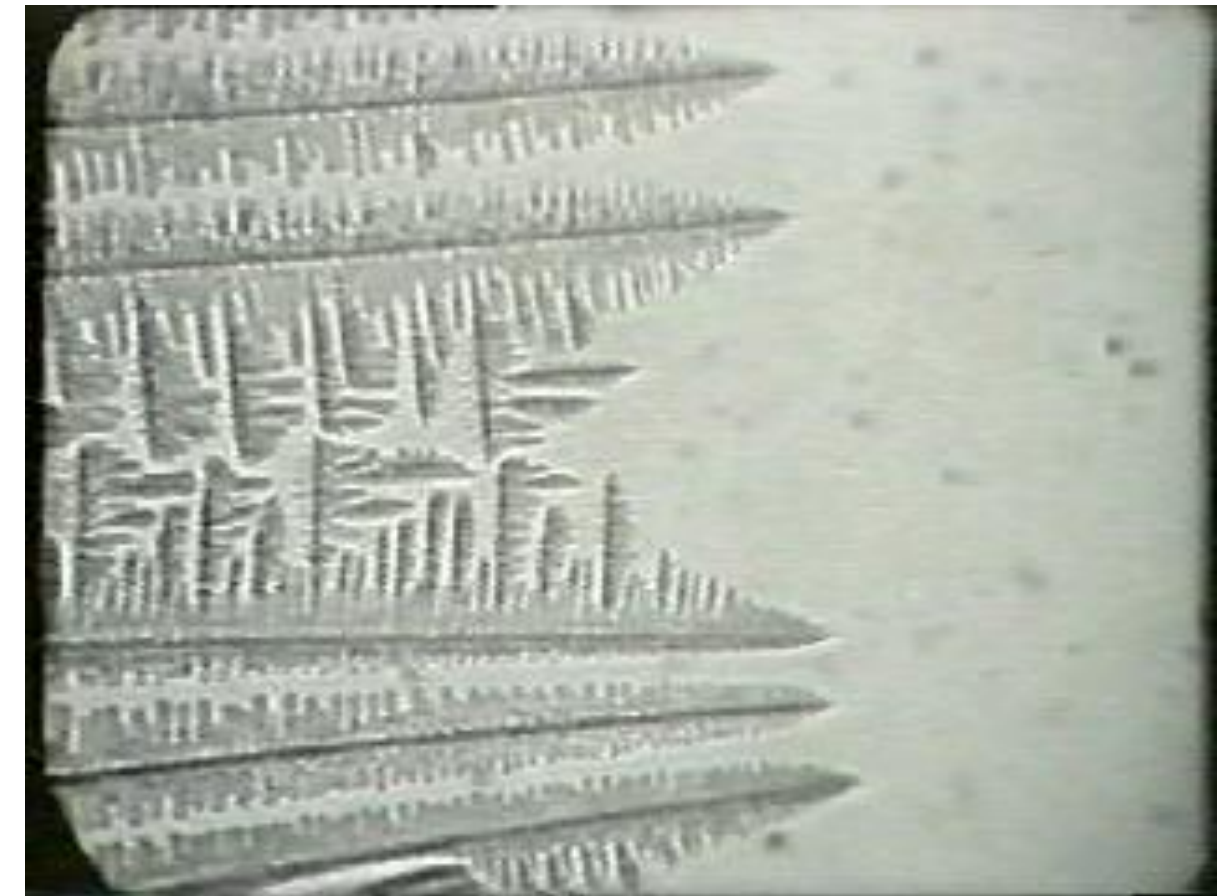
Cellular growth in succinonitrile-acetone (H. Esaka, J. Stramke and W. Kurz DMX-EPFL)

Dendritic structure

$$v = \frac{D_L}{(X_S - X_L)} \frac{\partial X_L}{\partial x}$$

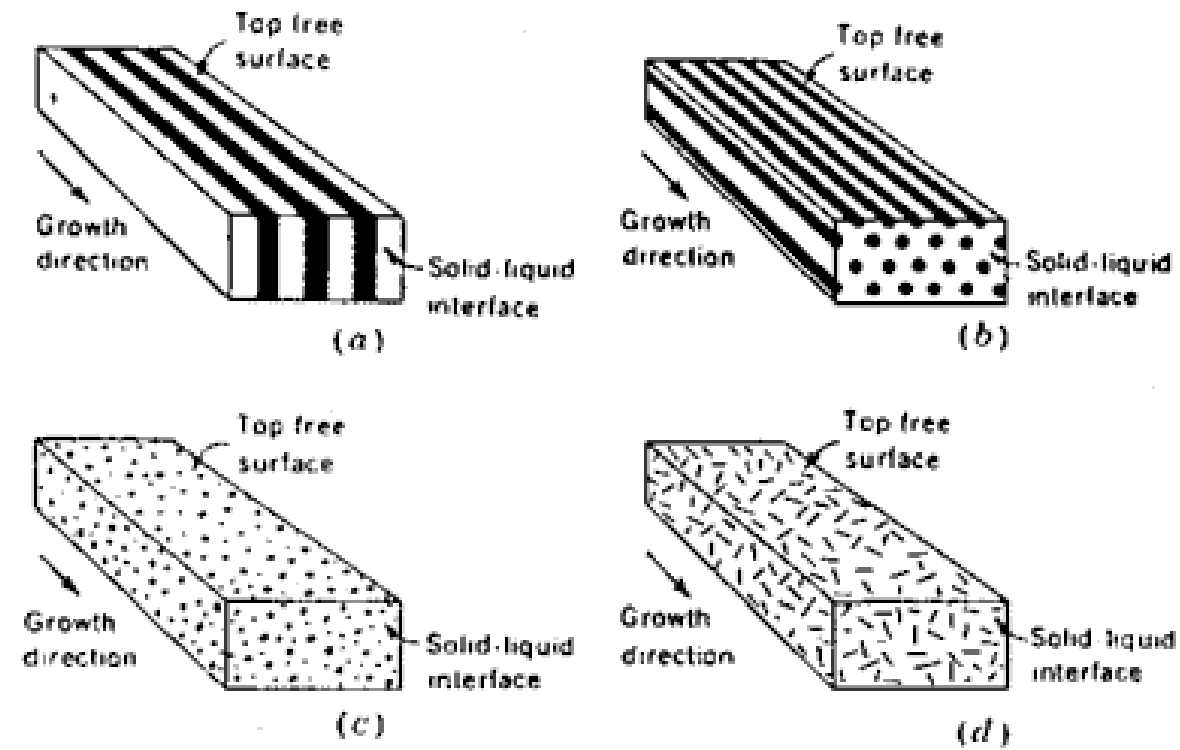
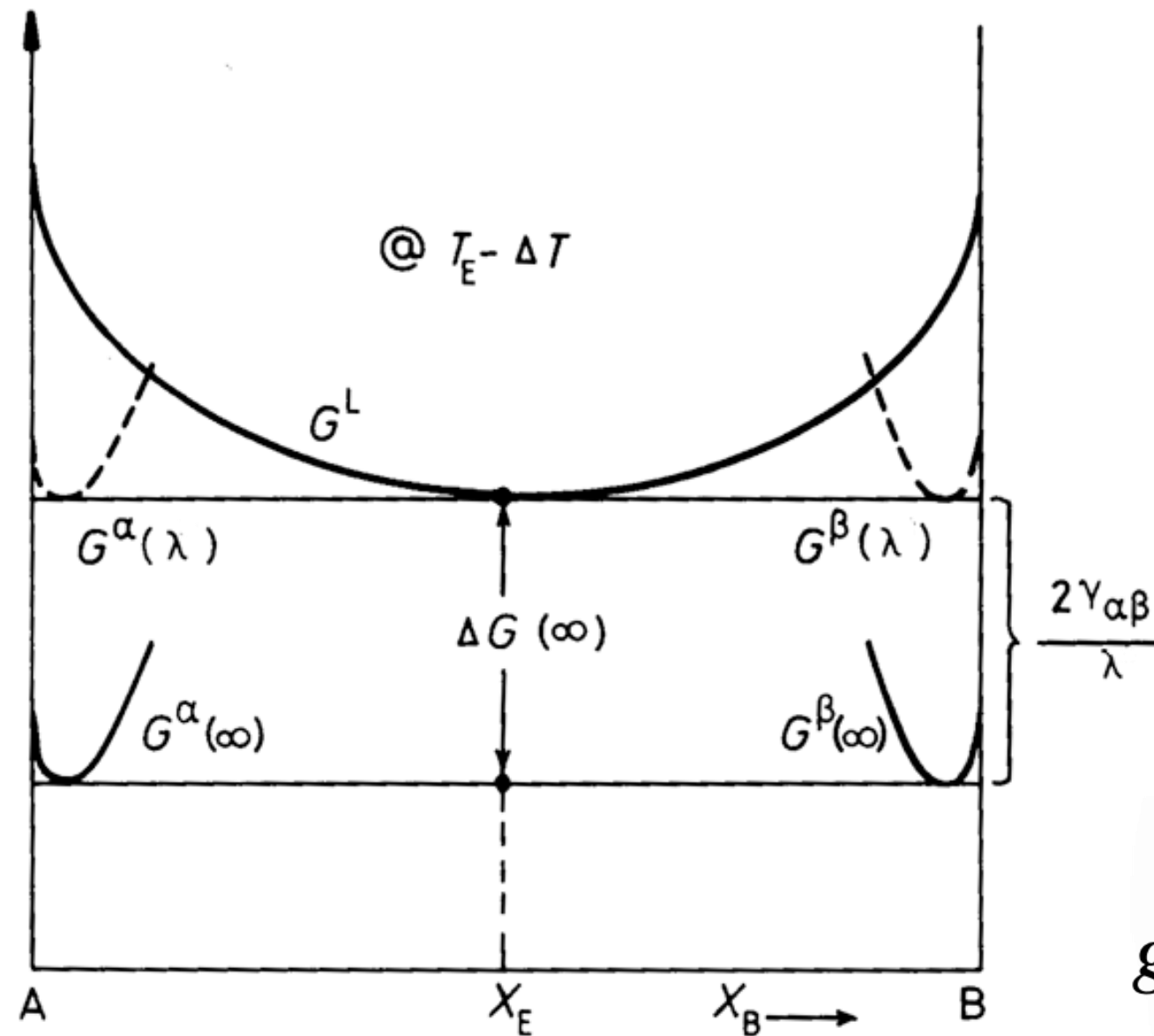


Growth of pivalic acid in
microgravity (NASA)



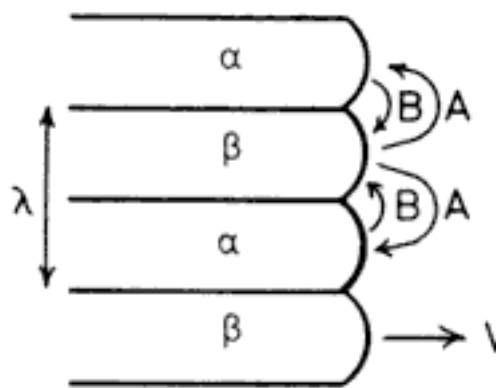
Cellular growth in
succinonitrile-acetone (H. Esaka,
J. Stramke et W. Kurz DMX-EPFL)

Eutectic solidification



$$g(\lambda) = g(\infty) + \frac{\gamma_{\alpha\beta} S_{\alpha\beta}}{V} = g(\infty) + \frac{2\gamma_{\alpha\beta}}{\lambda}$$

Eutectic solidification

$$v(X_S - X_L) = D_L \frac{\partial X_L}{\partial x}$$


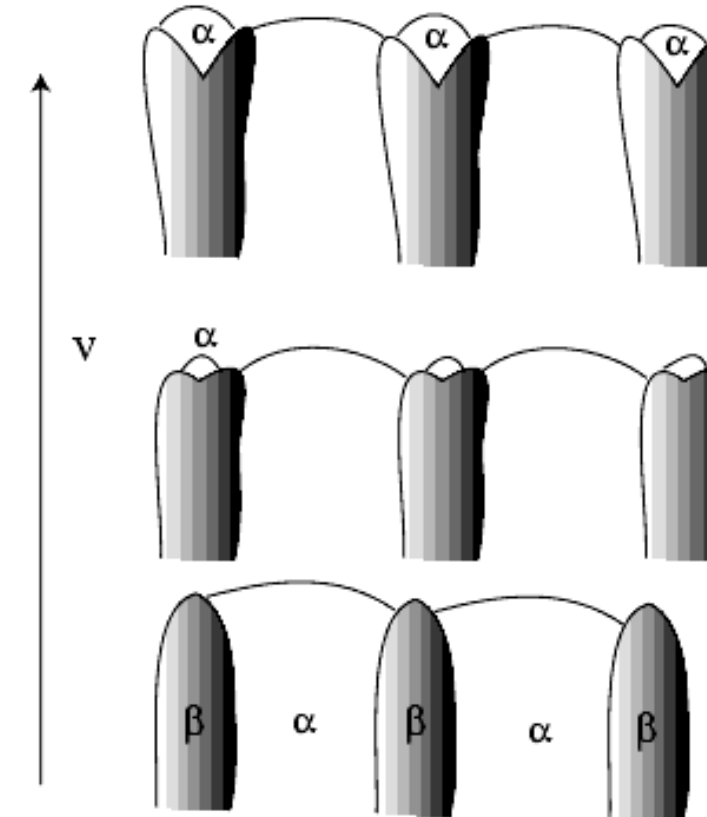
gradient of mean concentration

$$\frac{\Delta X}{\lambda/2} = 2 \frac{(X_B^{L-\beta} - X_B^{L-\alpha})}{\lambda}$$

$$v(X_B^\alpha - X_B^{L-\alpha}) = 2D_L \frac{(X_B^{L-\beta} - X_B^{L-\alpha})}{\lambda}$$

$$v_\alpha = v_\beta = 2D_L \frac{(X_B^{L-\beta} - X_B^{L-\alpha})}{\lambda(X_B^\alpha - X_B^{L-\alpha})}$$

$$\lambda = \lambda_c \text{ that is } X_B^{L-\alpha} = X_B^{L-\beta} \Rightarrow v_\alpha \rightarrow 0$$



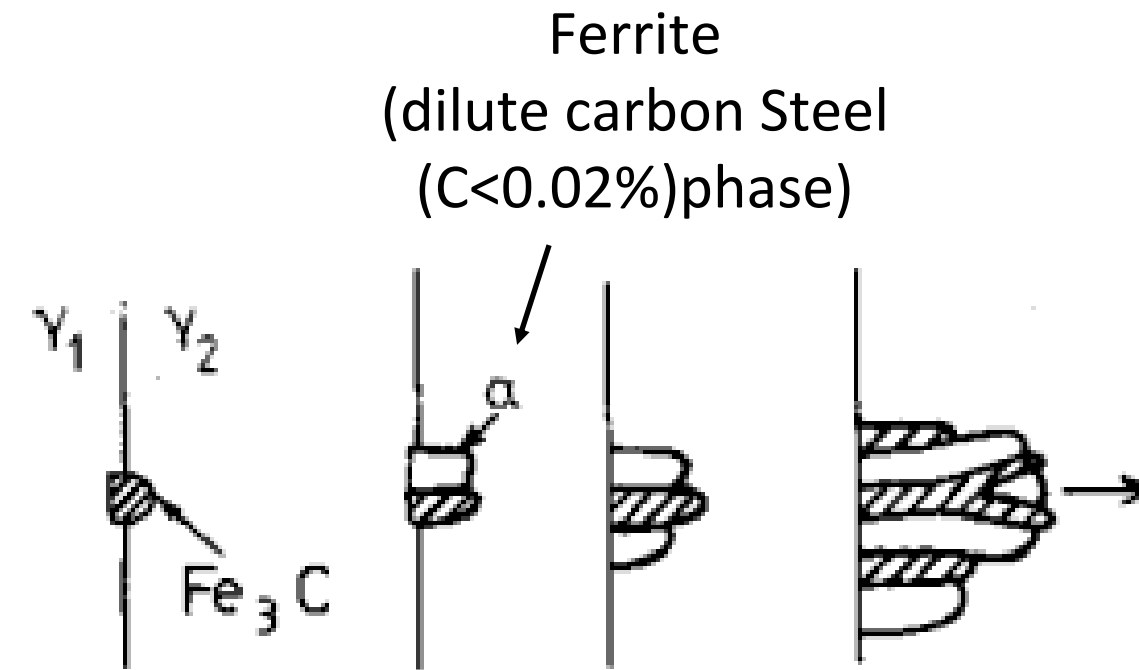
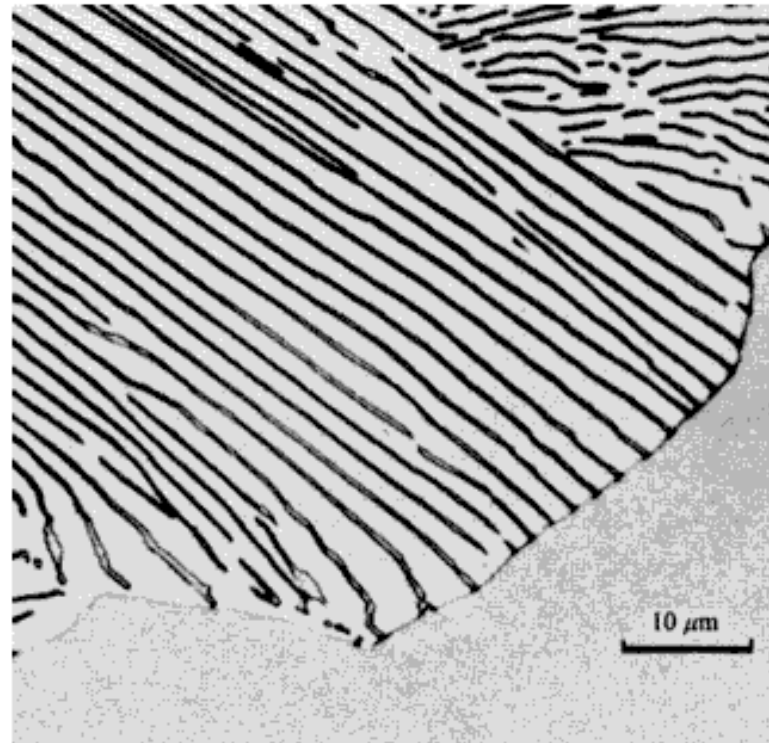
$$\Delta X = \Delta X_0 \left(1 - \frac{\lambda_c}{\lambda}\right)$$

$$v_\alpha = v_\beta = 2D_L \frac{\Delta X_0}{\lambda(X_B^{L-\alpha} - X_B^\alpha)} \left(1 - \frac{\lambda_c}{\lambda}\right)$$

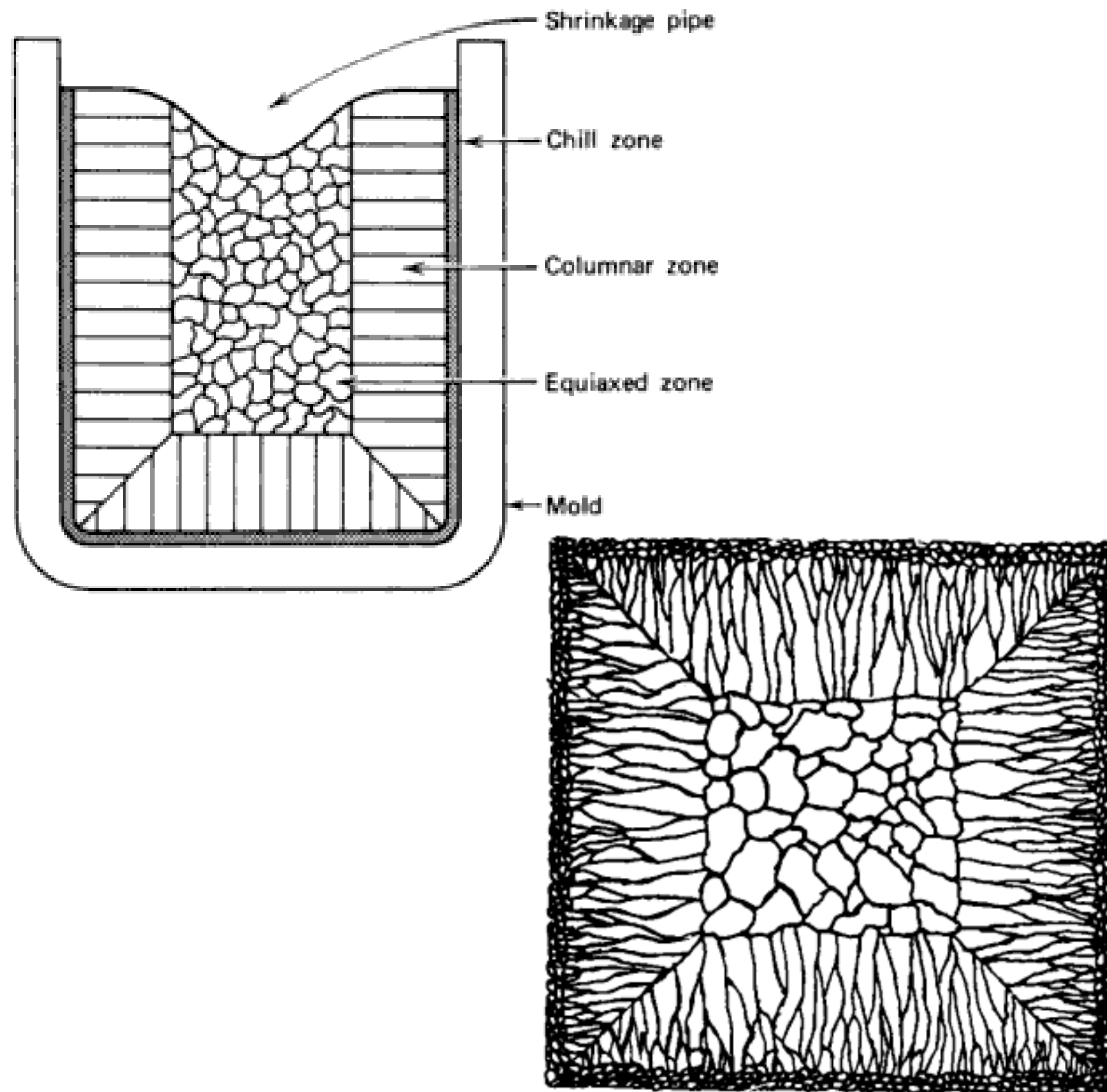
$$\text{Maximum speed } \lambda = 2\lambda_c$$

$$\lambda_c v_{\max} = \text{const.}$$

Pearlite structure in steels

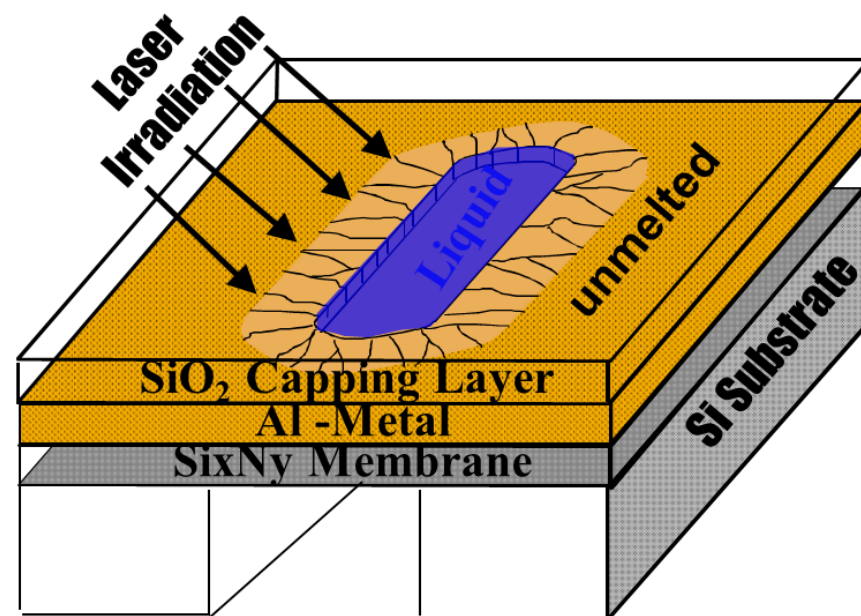
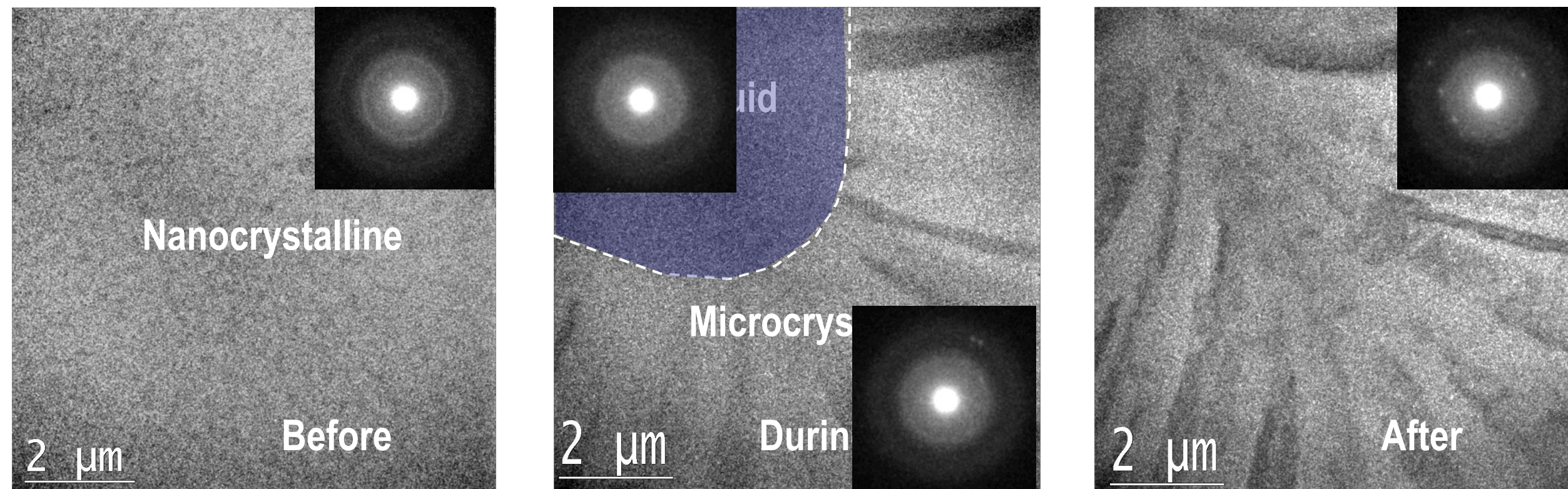


Solidification of a bar



Time-resolved Electron Microscopy (DTEM)

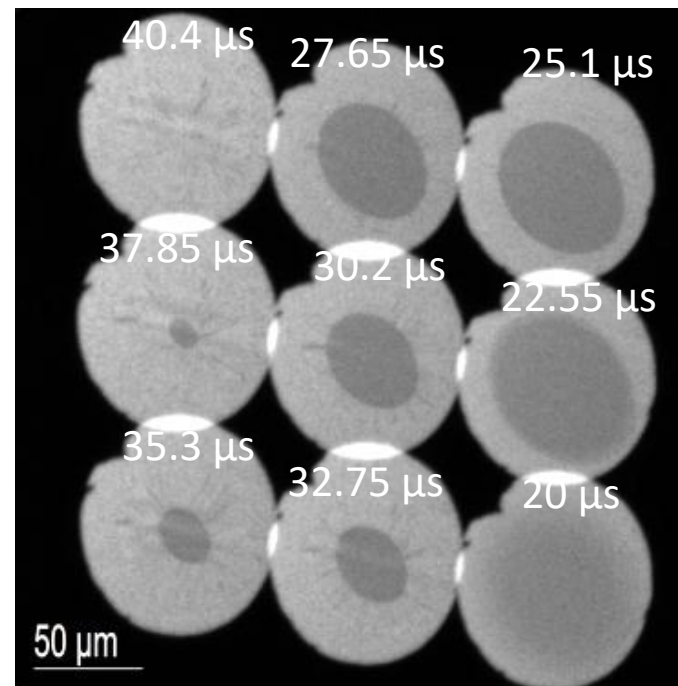
Series of nanosecond bright field TEM images and diffraction patterns showing the rapid dynamics solidification processes after laser melting



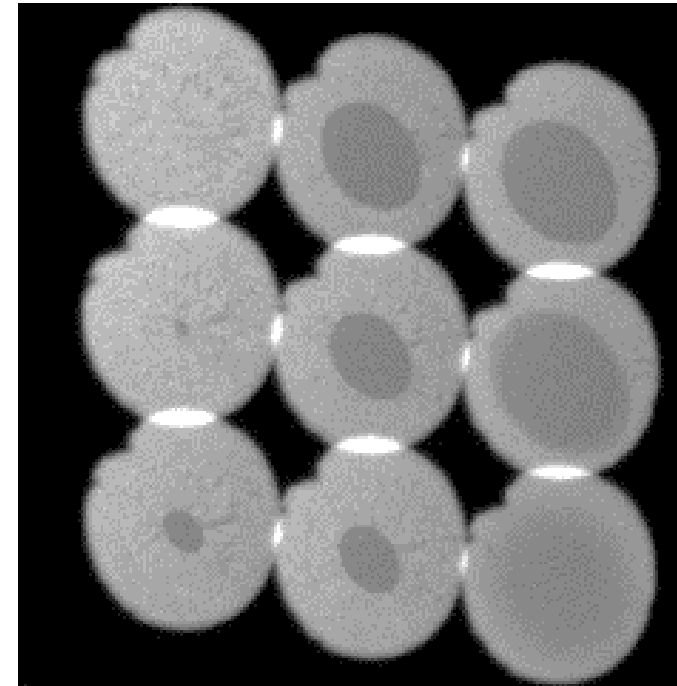
We can observe morphological changes in liquid-solid interface of rapid lateral solidification (RLS) of molten metal films and quantitatively measure interface velocities moving at ~ 3.5 m/s.

Heat extraction controls the solidification velocities

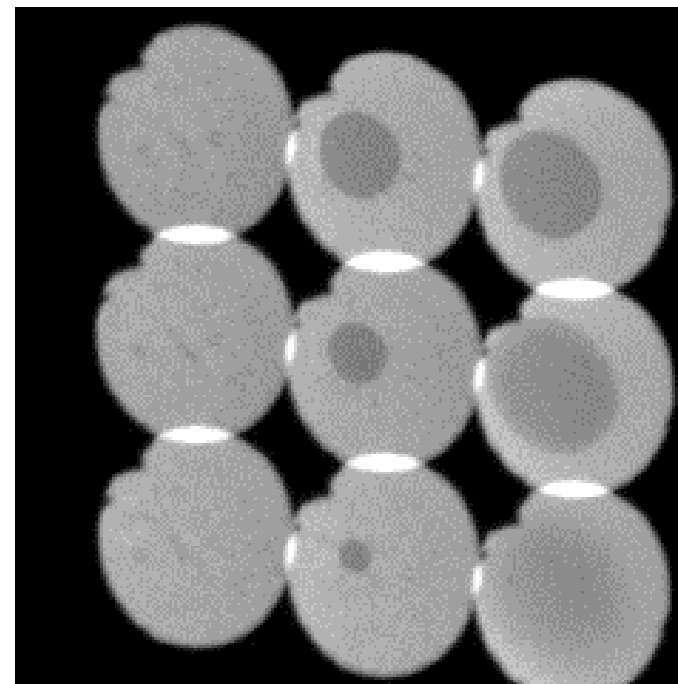
250 μm from corner



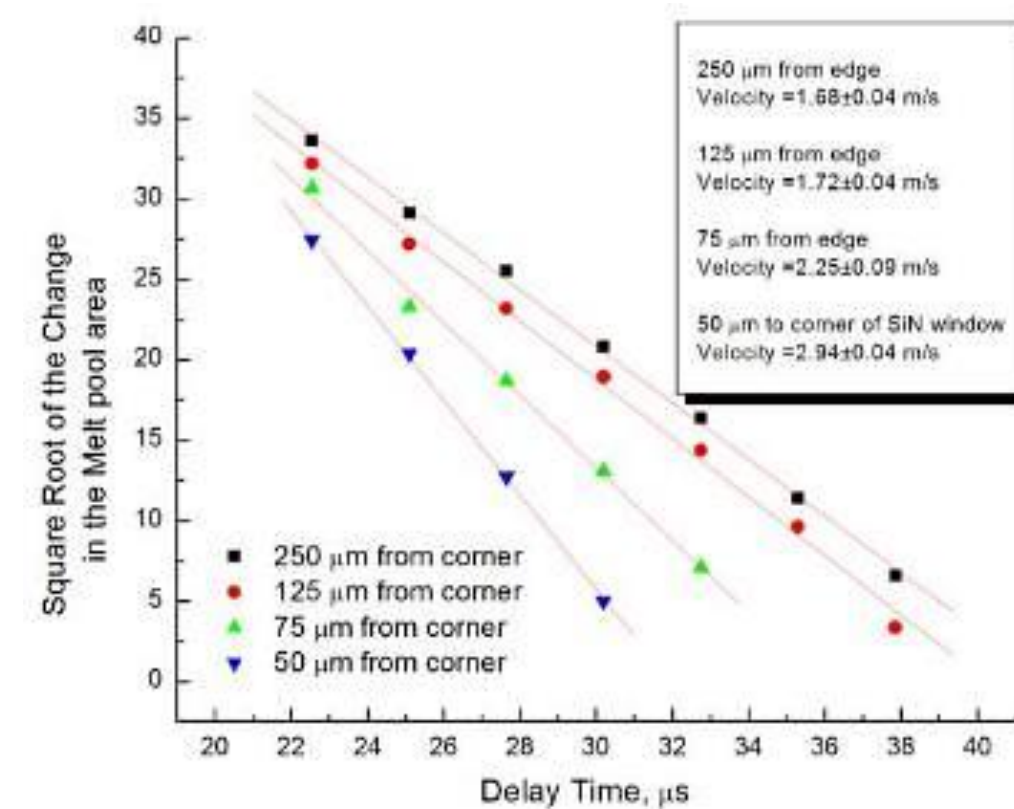
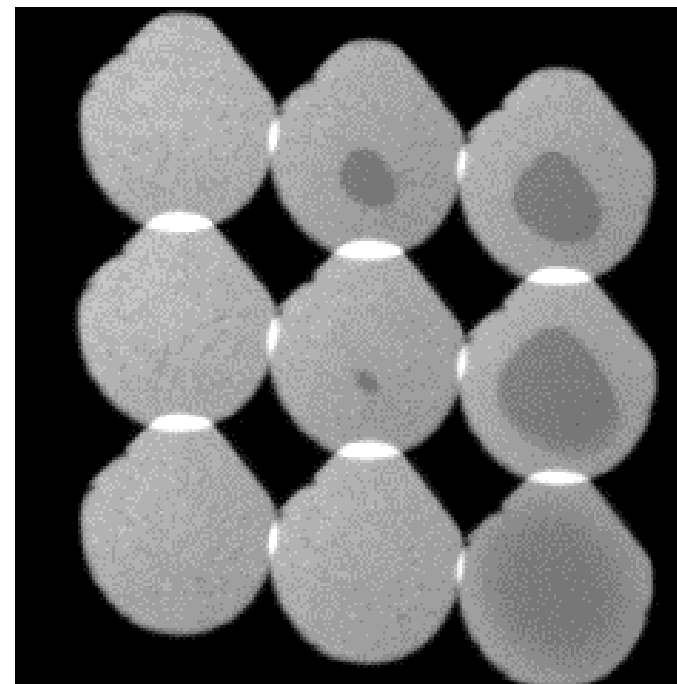
125 μm from corner



75 μm from corner



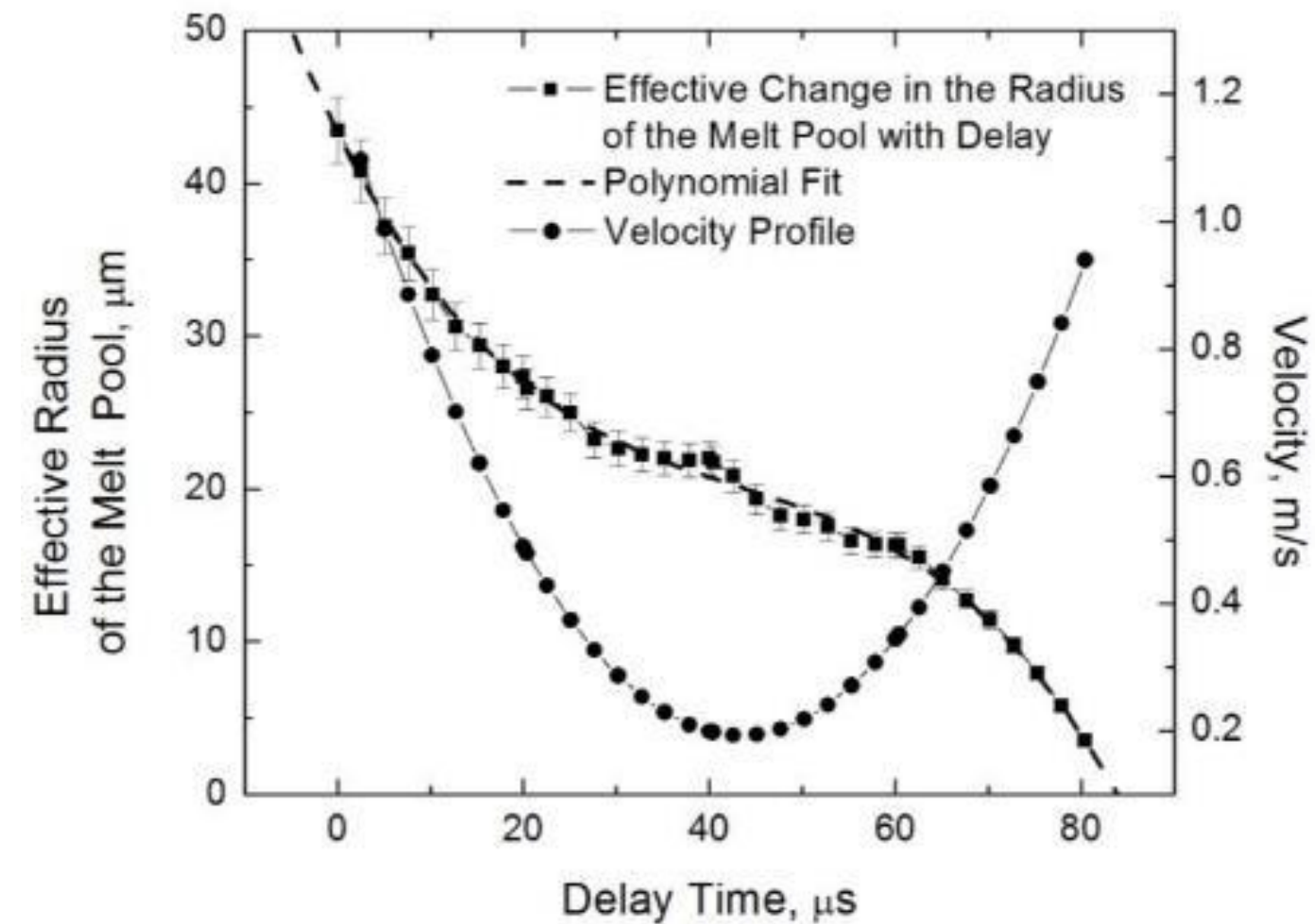
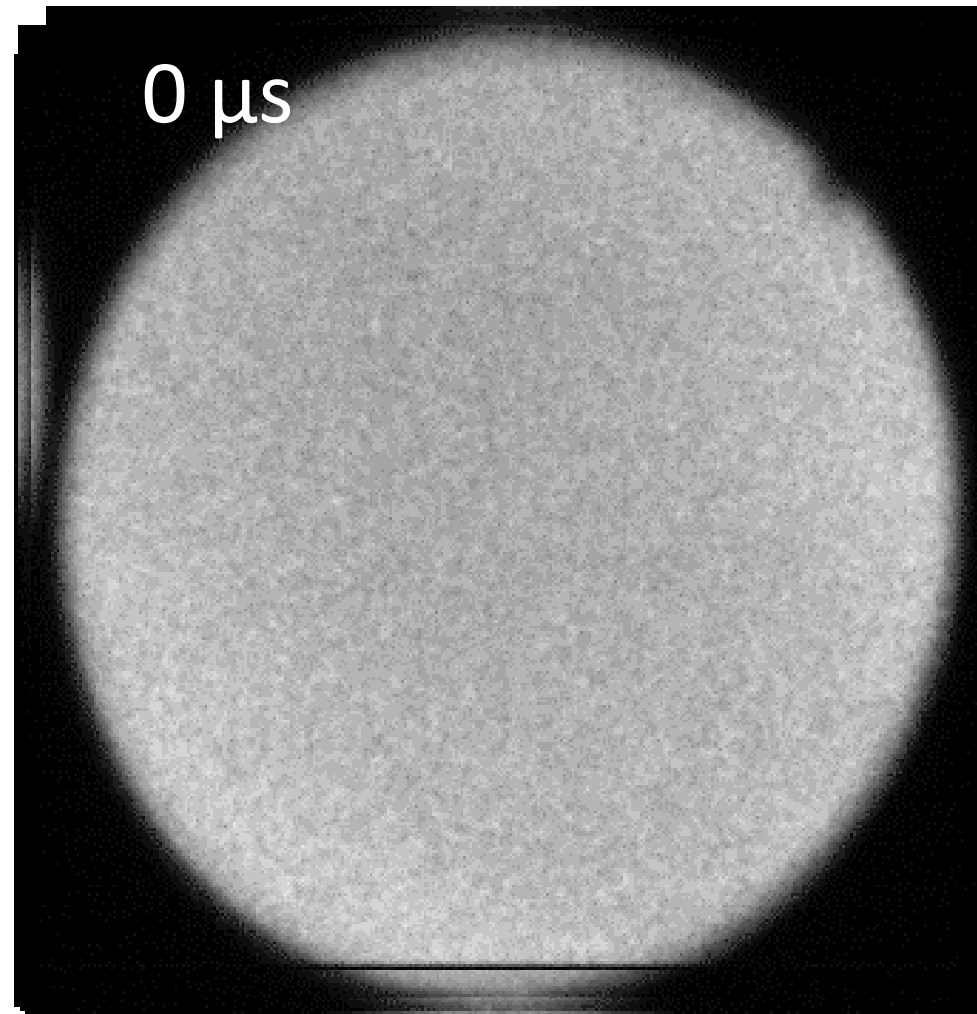
50 μm from corner



$$v_i = \frac{1}{L_V} \left(\lambda_s \frac{\partial T}{\partial x} \right)_s - \lambda_L \frac{\partial T}{\partial x} \bigg|_L$$

Only planar front are observed in this thin film geometries

Al-16%Cu alloy films have a parabolic solidification velocity



Solidification velocities are controlled via an interplay between heat extraction, nucleation and diffusion kinetics